



Verlässliche Echtzeitsysteme – Können wir unseren Autos noch vertrauen?

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30.04.2012





- Who is Method Park?
- Why do we need Safety Standards?
- Process and Safety demands in Automotive
- Hazard Analysis and Risk Assessment
- Functional and Technical Development
- Software Process in detail
- Tool Qualification
- Summary



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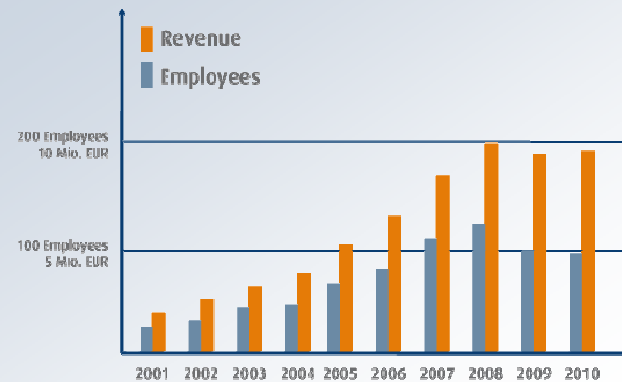
Facts

- Founded in 2001
- Locations:
Germany: Erlangen, Munich
USA: Detroit, Miami

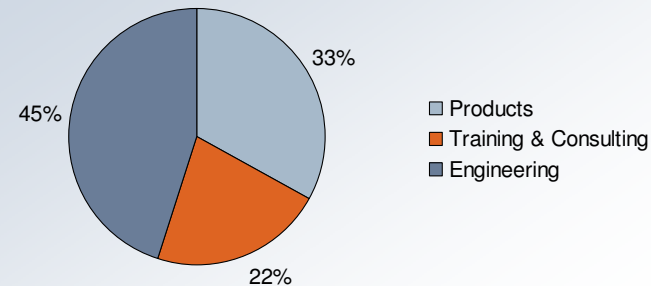
Awards



Revenue & employees



Business unit revenue



Product



Solution for integrated
process management

Engineering

Areas:

- Project Coaching
- Software Development & Support
- On Site Support
- Off Site Projects
- Fixed Price Projects

Consulting/Coaching

Topics:

- Software Process Improvement
- CMMI®, SPICE, Automotive SPICE®
- AUTOSAR, Functional Safety
- Requirements Management
- Project and Quality Management
- Software Architecture & Design
- Software Testing

Training

Wide range of seminars in the division
system and software development

Accredited by the following organizations:
SEI, ISTQB, ISQI, INTACS, IREP

Our Customers



Automotive

- Audi
- Automotive Lighting
- Blaupunkt
- BMW
- Bosch
- Brose
- Continental
- Daimler
- Delphi
- ETAS
- Helbako
- IAV
- Knorr-Brakes
- Marquardt
- Peiker Acoustic
- Preh
- Thales
- TRW
- Volkswagen
- Webasto
- ZF
- Zollner

Engineering/ Automation

- 7 layers
- ABB
- BDT
- Carl Schenk
- EBM Papst
- Heidelberger Druckmaschinen
- Insta
- Kratzer Automation
- Magirus
- Mettler Toledo
- Mühlbauer Group
- Rohde&Schwarz
- Siemens Industries
- Wago

Government/Public

- Bundesagentur für Arbeit
- Curiavant
- Kassenärztliche Vereinigung Baden-Württemberg

Healthcare

- Carl Zeiss
- Siemens
- Fresenius
- Agfa
- Ziehm Imaging
- NewTec
- Innovations Software
- Technology

IT/ Telecommunications

- GFT
- Intersoft
- Nash Technologies
- NEC
- Micronas
- Siemens
- Teleca

Defense

- Airbus Deutschland
- Diehl
- EADS
- Raytheon Anschutz
- KID

Further

- Bosch und Siemens Hausgeräte
- Deutsche Post
- GMC Software Technologies
- Kodak
- Landesbank Kiel
- Raab Karcher
- Giesecke & Devrient
- Thales Rail Signaling



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Source: ESA

Ariane 5 (July 4th, 1996)

Detonation shortly after
takeoff because of an error
in the control software

Root cause:
Insufficient tests of a reused
"proven in use" software
component



Source: YouTube

Application that can cause harm (a risk):

- Airbag exploding when infant is sitting in front seat

Need to assess the risk

- Infant getting injured – “not good at all”

Find a mitigation strategy, e.g. a safety function:

- Detecting infant in front seat and disabling airbag
 - a) sensor delivers signal to
 - b) software/hardware controlling an
 - c) actuator (disabler)

Functional Safety is then:

- An infant in front seat is not exposed to an unacceptable (unreasonable) risk



Question:
How to measure
and agree on the
measures?

Warning

Your Brake Function is temporarily unavailable, please **STOP** the Car immediately!

OK

CANCEL

Question:
Do we dare putting
software in direct
control of people's life?

Reasons for Failures

63%  methodpark

60%
50%
40%
30%
20%
10%

Root cause analysis of
software failures in 90
healthcare companies

20%

10%

10%

16%

11%

Implementation

Architecture
Design

Requirements

Other

Source: Fraunhofer Institute for Experimental Software Engineering 2007

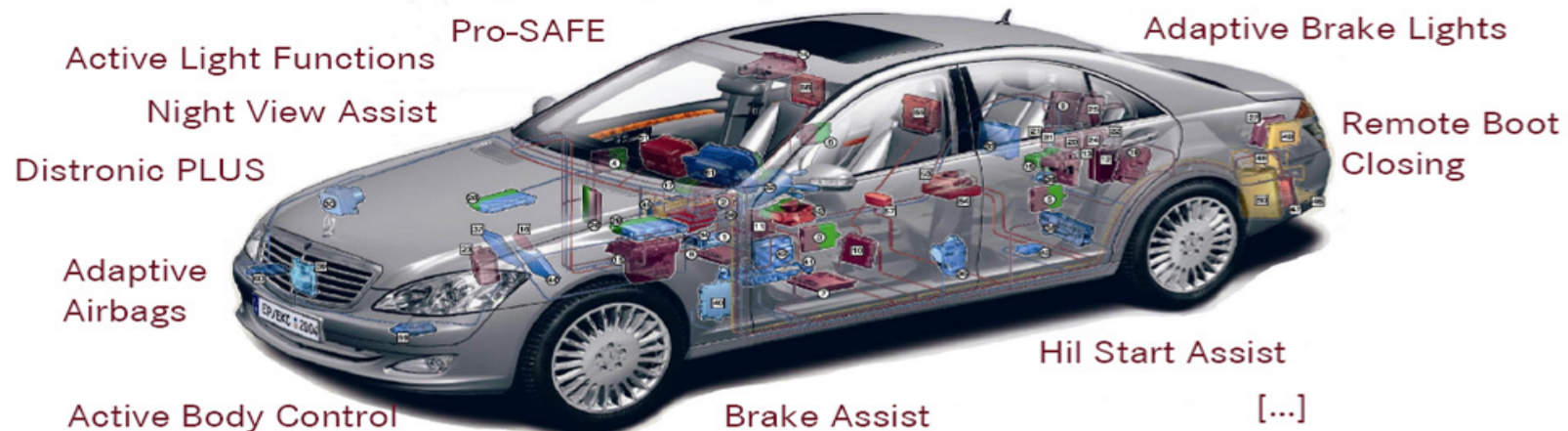
DAIMLER

Functional Safety

Current Situation

Trends in Automotive Electric/Electronics (E/E)

- Increasing functionality and complexity of software-based car functions
- Increasing risks from systematic faults and random hardware faults
- Most of the new car functions are safety-related



Source: © Courtesy of Daimler; Presentation given at Automotive Electronics and Electrical Systems Forum 2008, May 6, 2008, Stuttgart, Germany

§ 823 Abs. 1 BGB:

„Anyone who injures intentionally or negligently the life, body, health, liberty, property or any other right of another person, is obliged to compensate for the resulting damages.“

§ 1 Abs. 1 ProdhaftG:

„If someone is killed, his body or health injured or an item damaged by a defect in a product, the manufacturer of the product is obliged to replace the resulting damages.“



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Safety

... is the absence of unacceptable (unreasonable) risks that can cause harm achieved through a planned strategy

Functional Safety

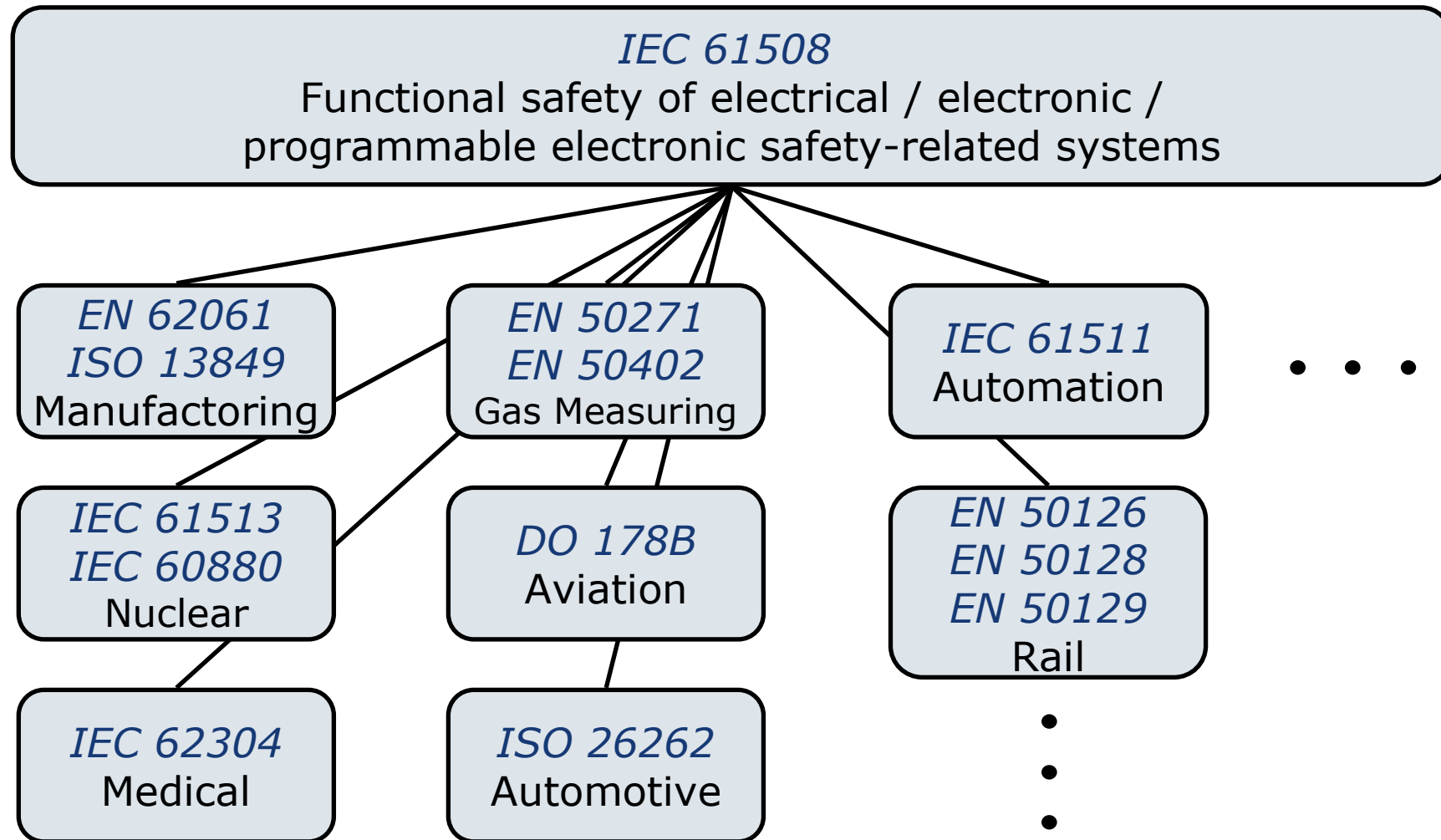
... is part of the overall safety that depends on a system or equipment operating correctly in response to its inputs.

... is achieved when every specified safety function is carried out and the level of performance required of each safety function is met

... is **not** to provide the perfect car, but a safe car.

Functional Safety Management

... is the management (plan, do, act, check) of all activities necessary to reach functional safety.



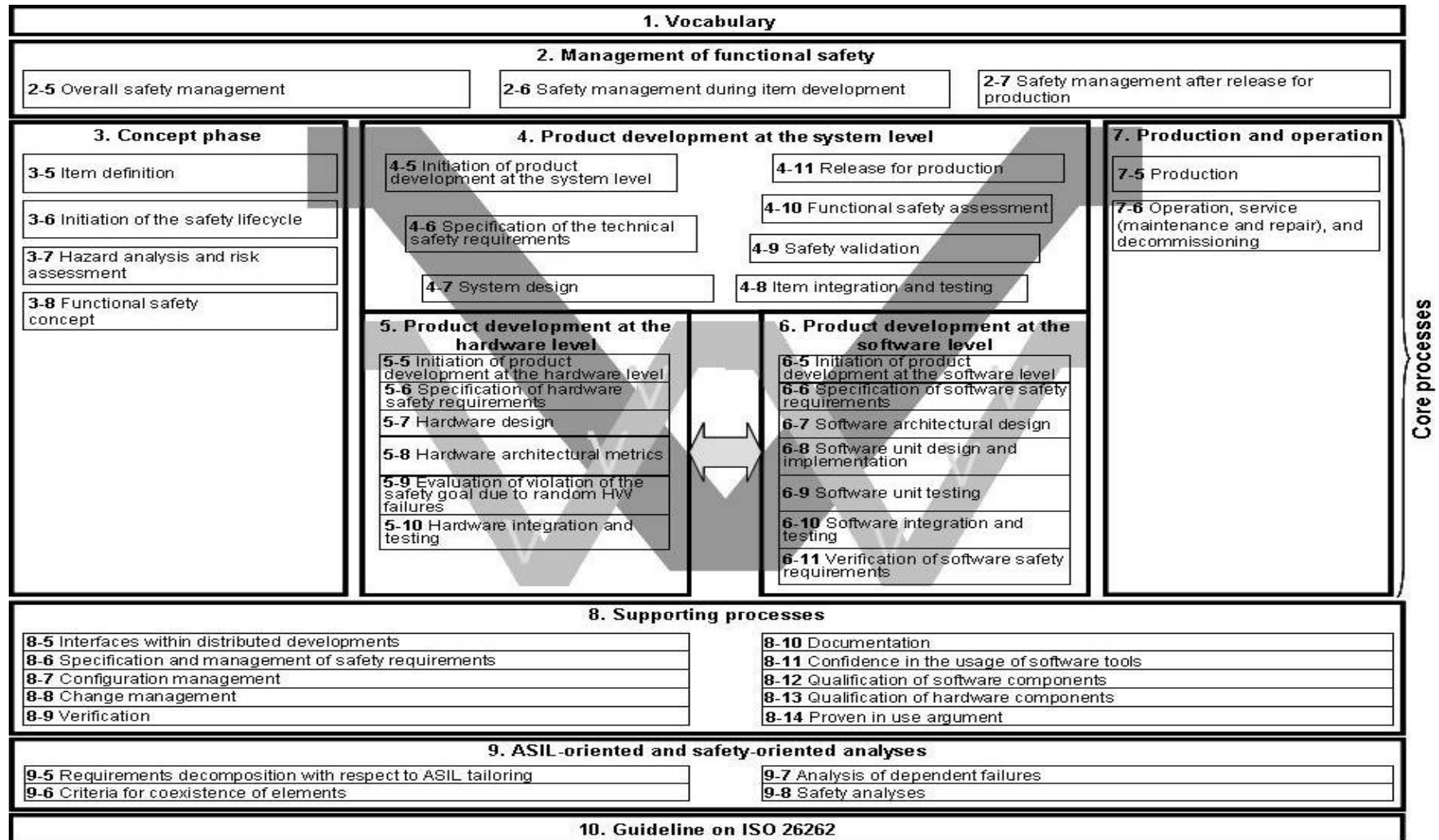
Why not using IEC 61508?

Lessons learnt from application of IEC 61508 in automotive industry:

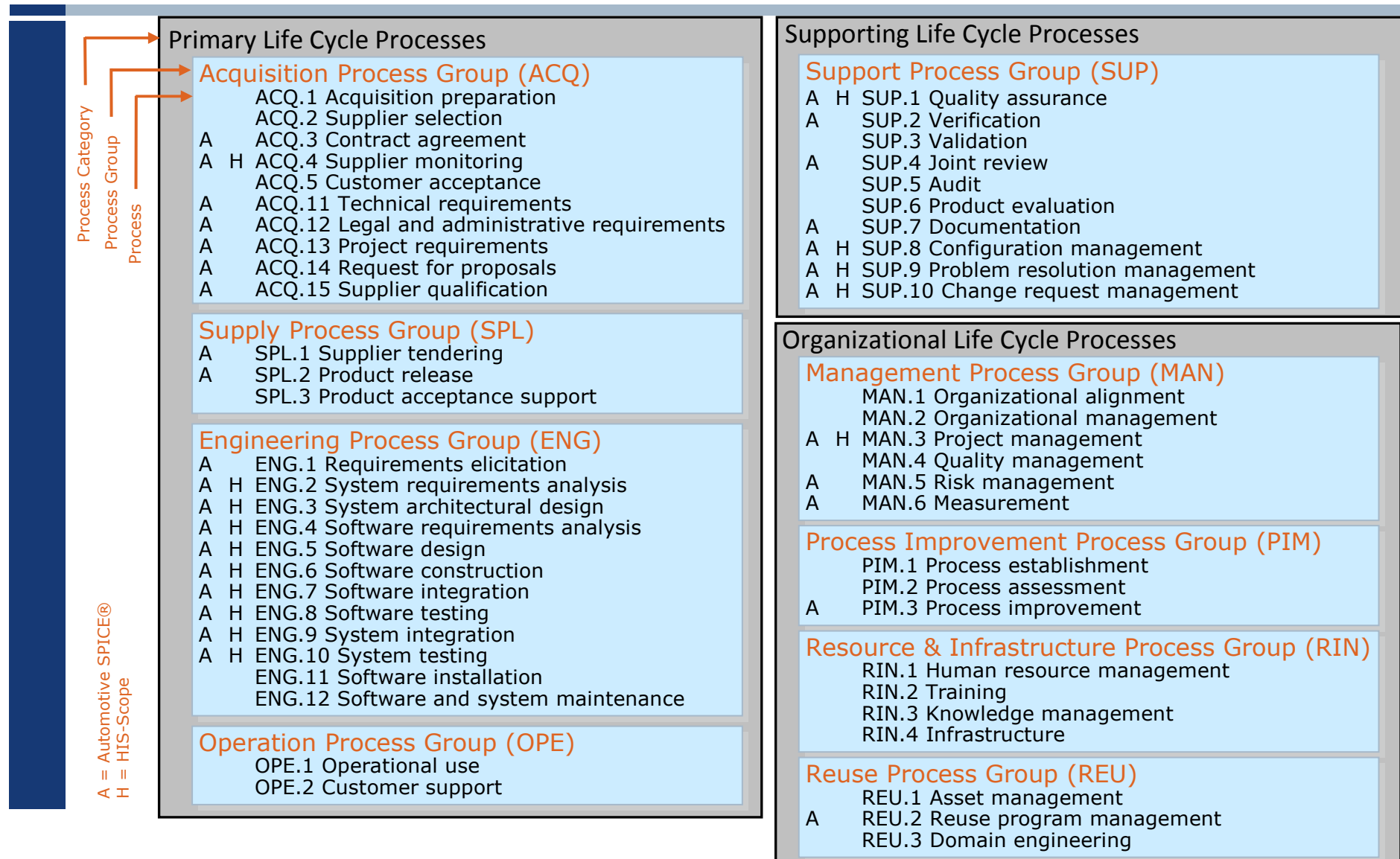
- Not adapted to real-time and integrated embedded systems
- Not adapted to automotive development and life cycles
- No requirements for manufacturer / supplier relationship
- No 'consumer-goods' orientation
- ...

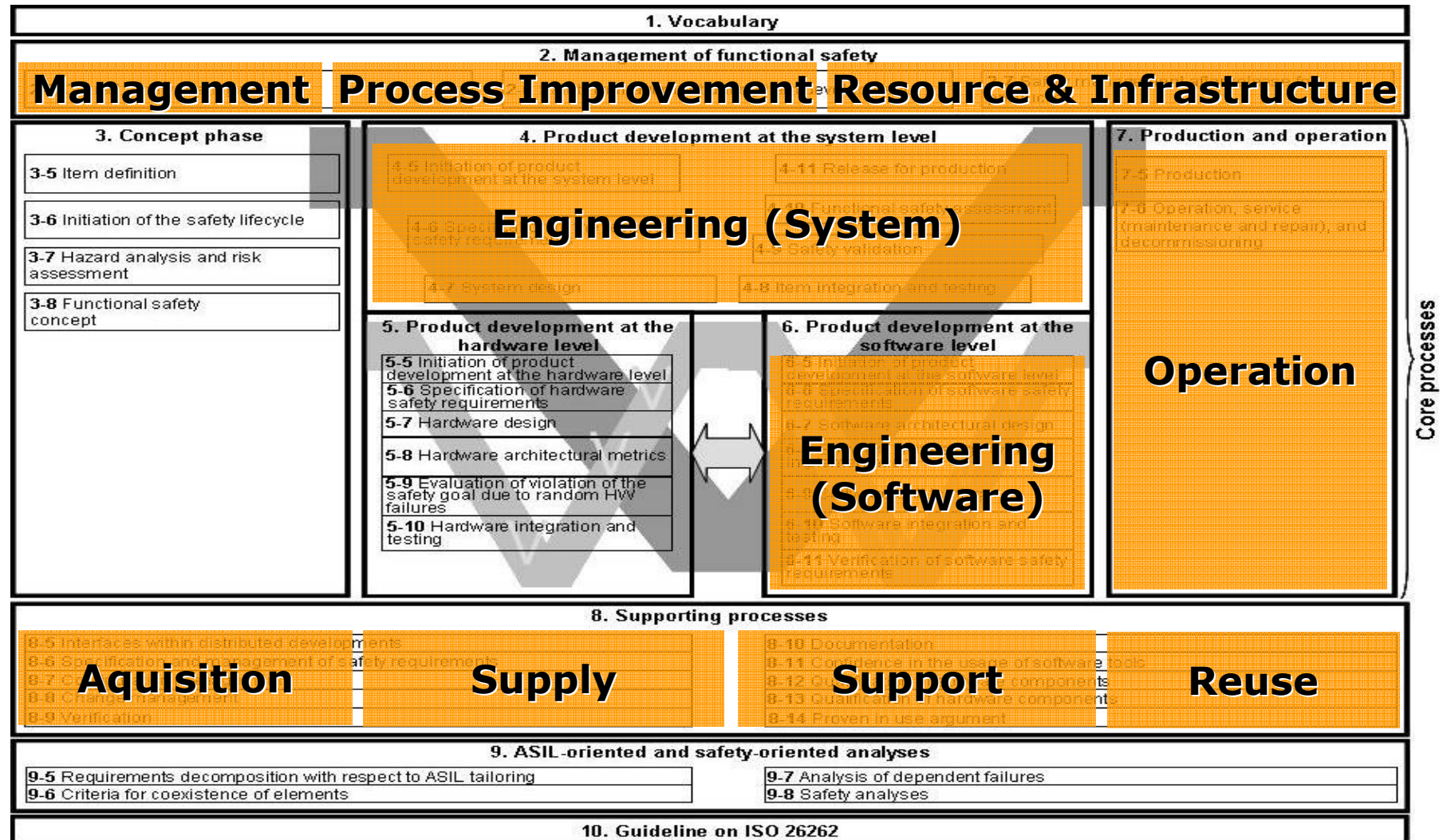
Companies had to solve these issues themselves until introduction of

ISO 26262



Source: ISO/FDIS 26262 - BL18





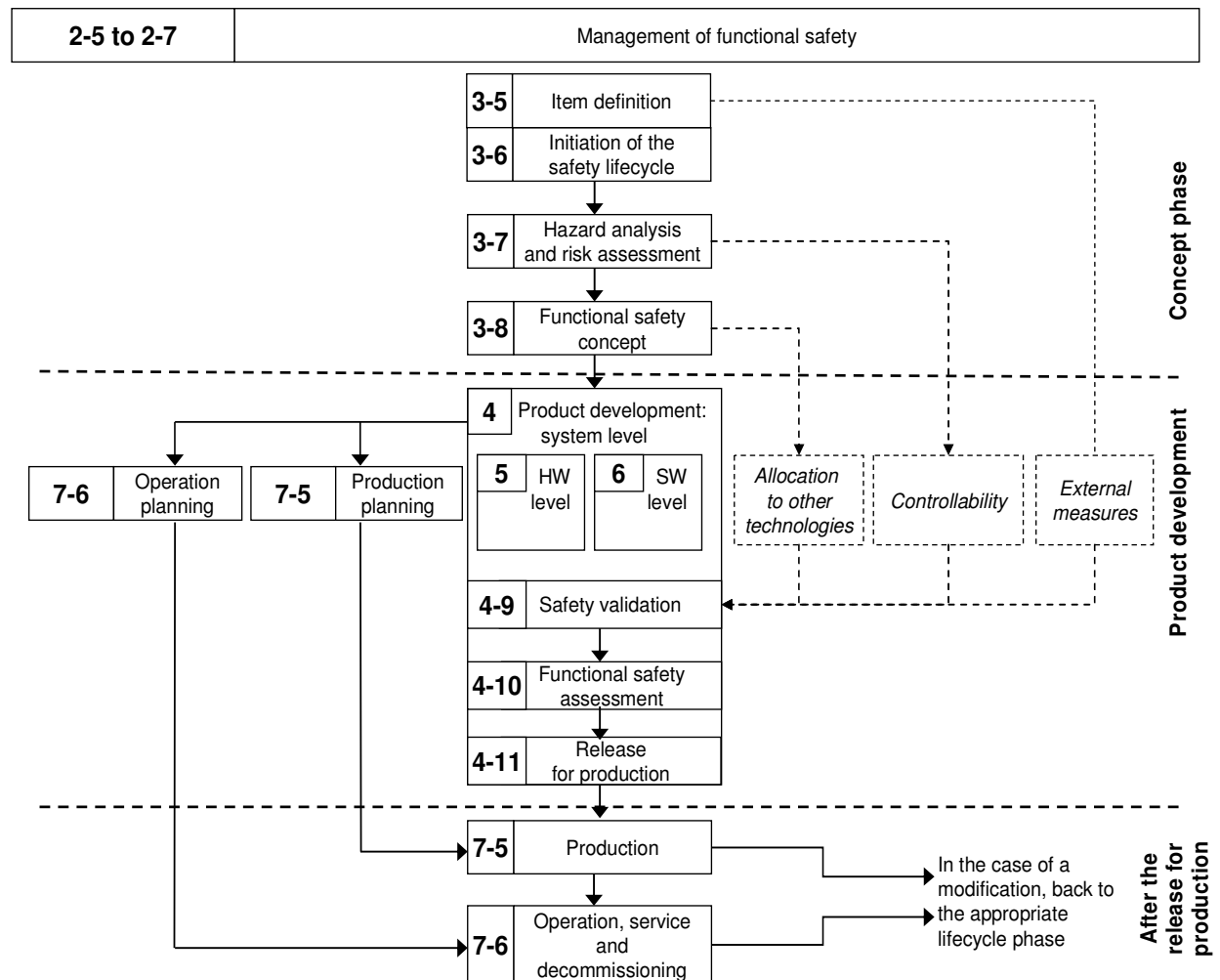
ISO 15504 Process Groups

Source: ISO/FDIS 26262 - BL18

- Concept

- Development

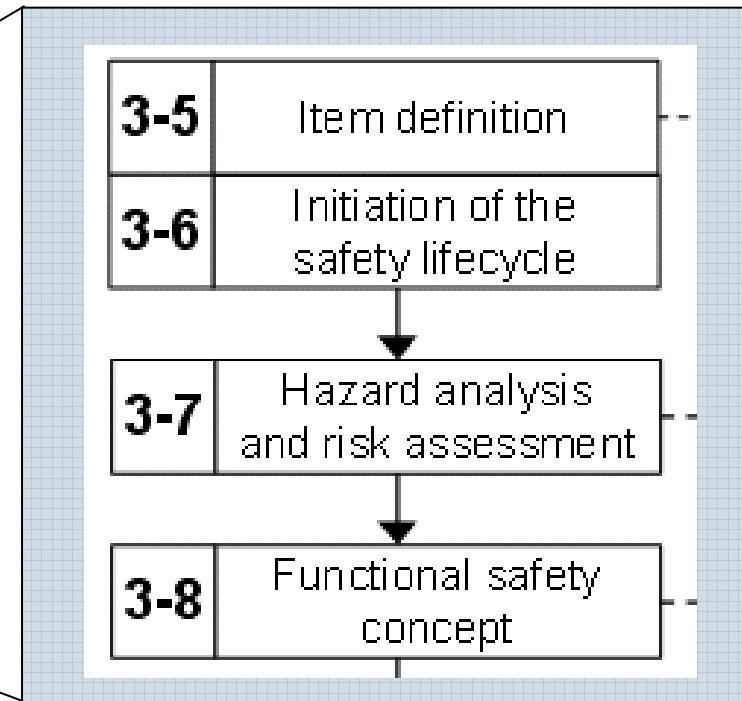
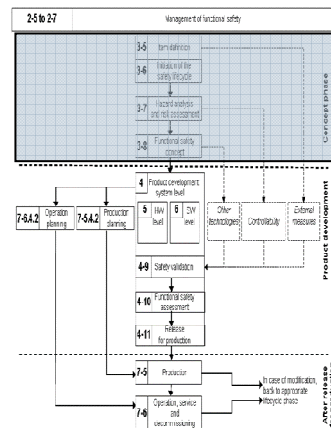
- Production



Source: ISO/FDIS 26262-2 – BL18

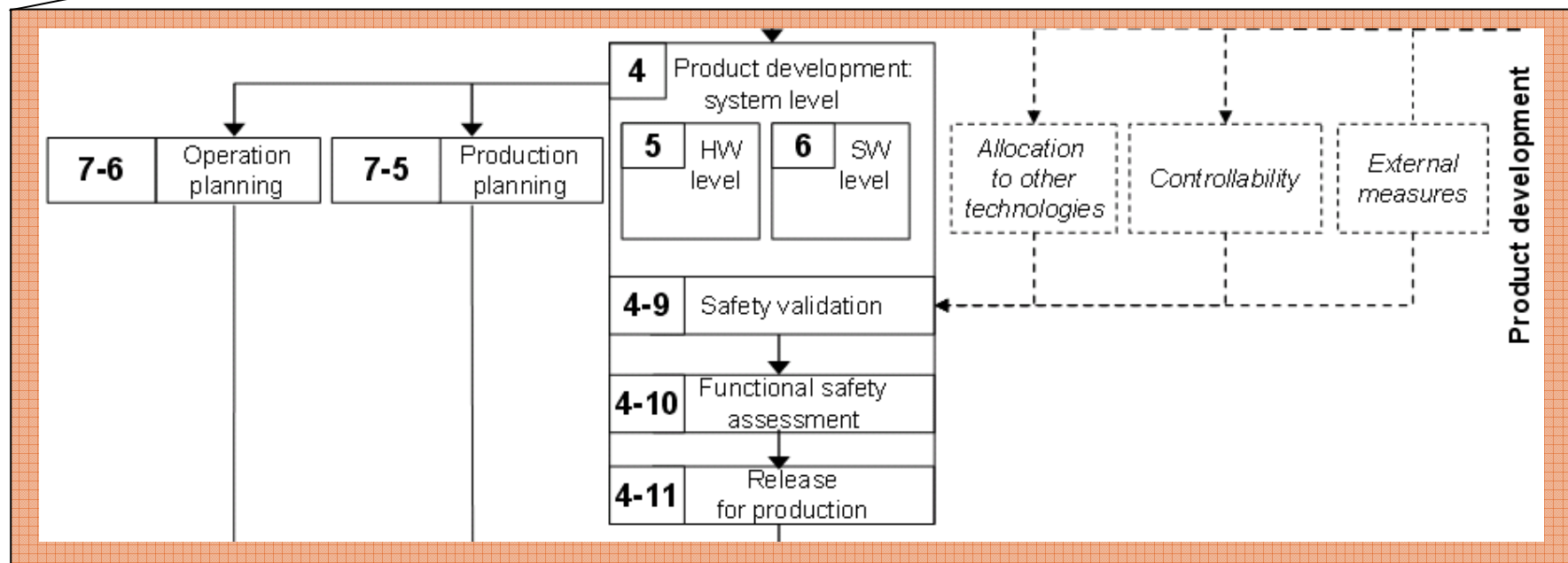
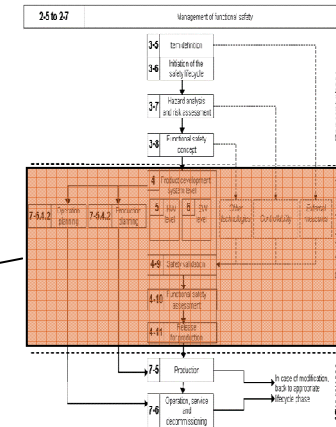
Concept Phase

- Focus on entire system
- Risks
- Safety Goals and Requirements
- Safety functions

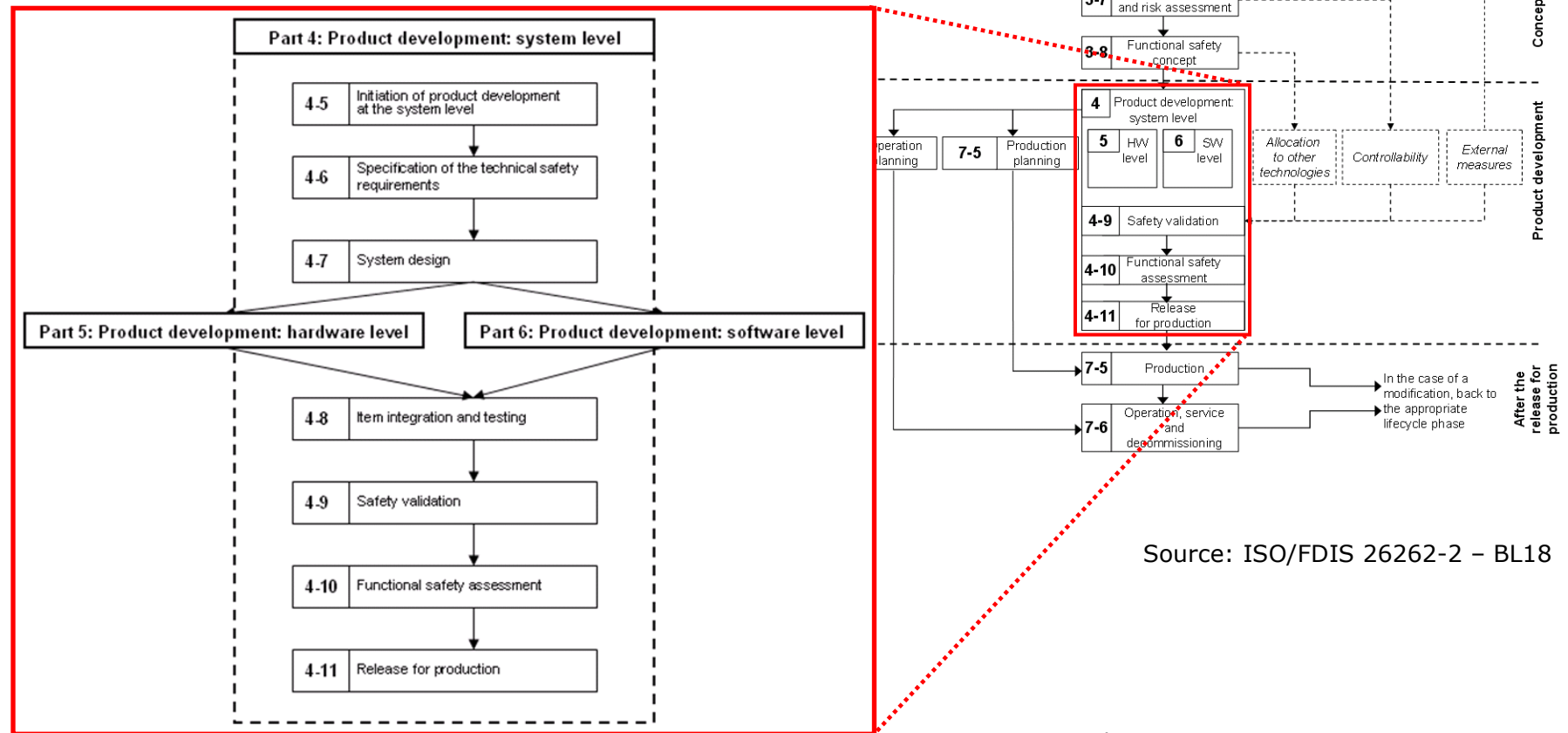


Product Development

- System, Hardware and Software
- Safety validation and assessment
- Production and Operation (Planning)



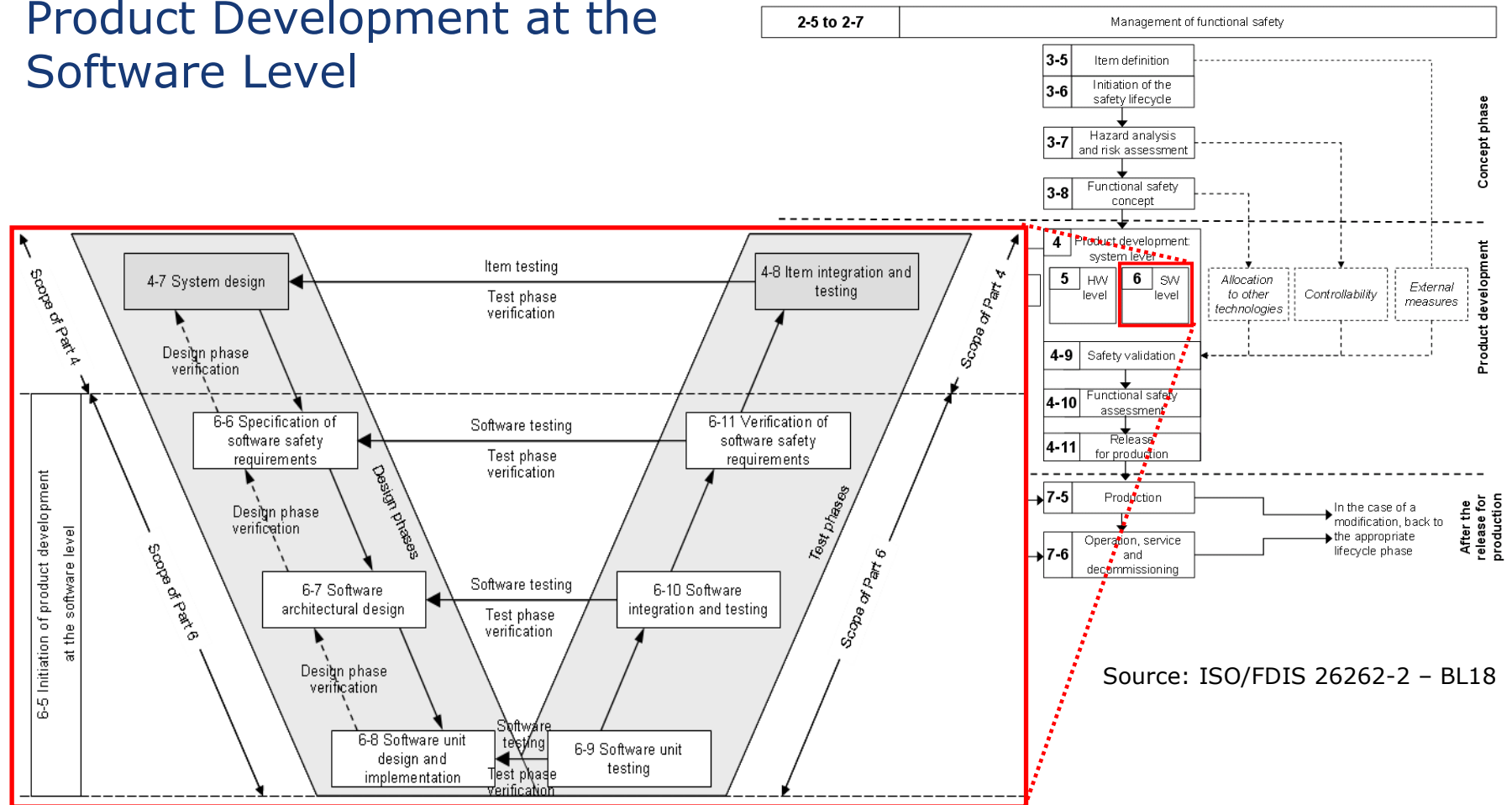
Product Development at the System Level



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Source: ISO/FDIS 26262-2 – BL18

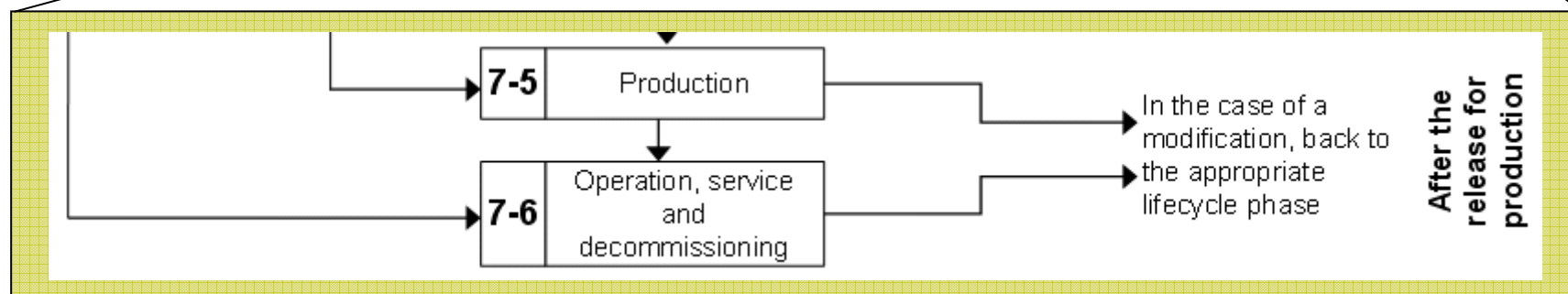
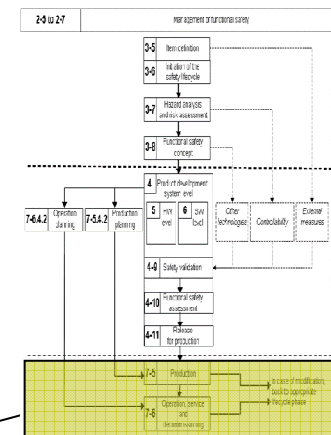
Product Development at the Software Level



Source: ISO/FDIS 26262-6 – BL18

After Release for Production

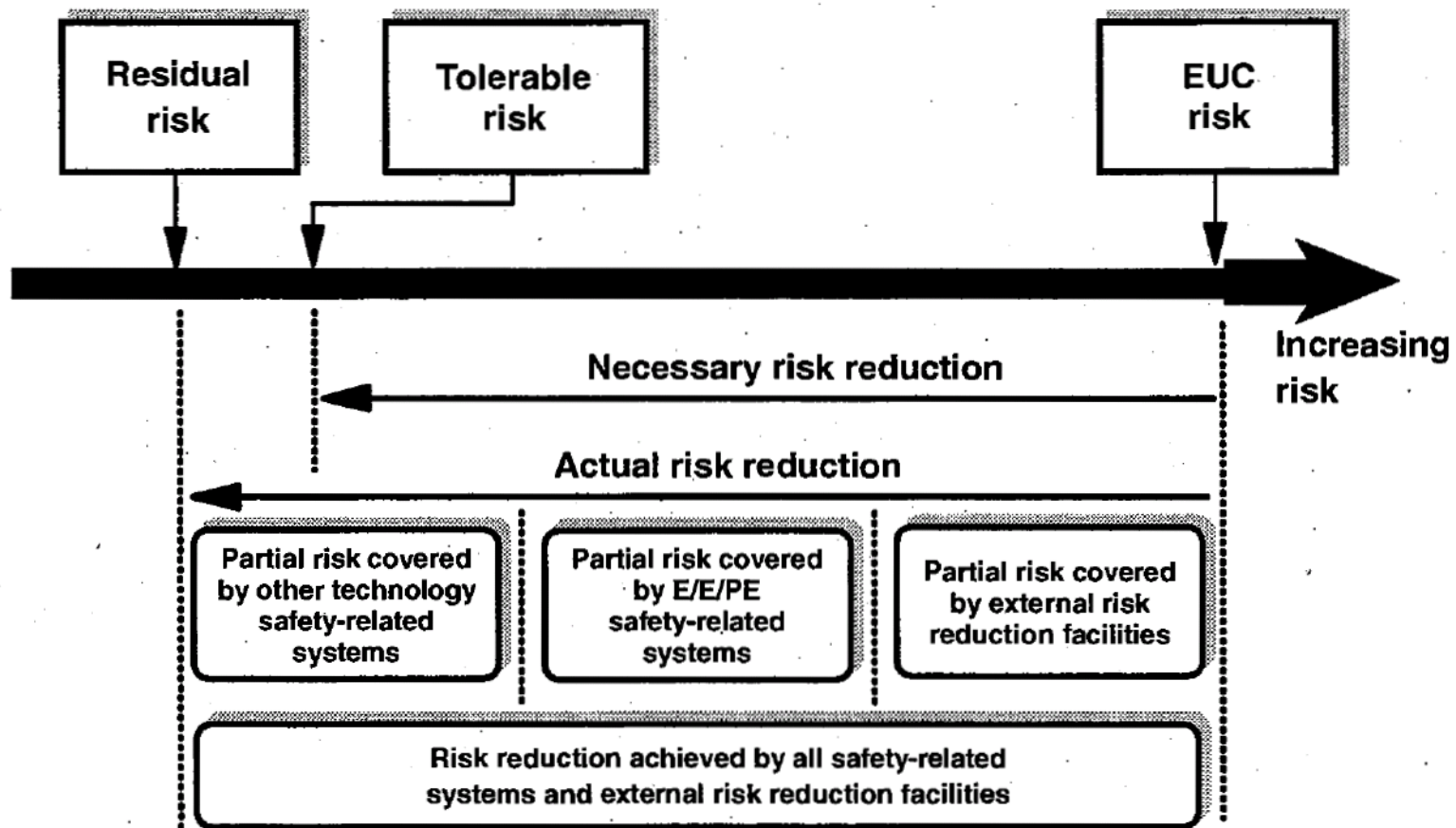
- Production
- Installation
- Operation
- Maintenance and reparation
- Disassembly





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Risk reduction to an acceptable level



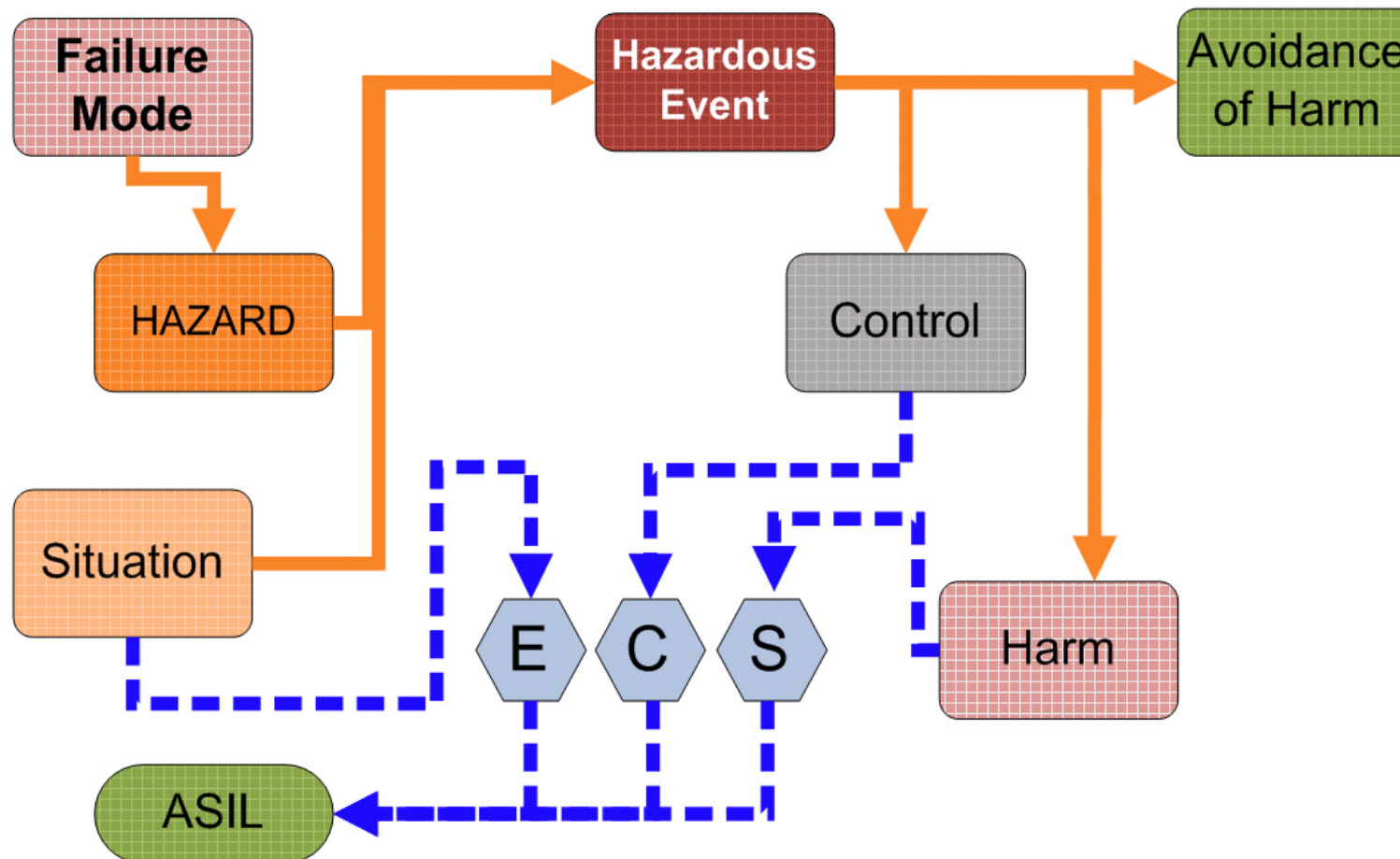
Source: IEC 61508-5

Situation analysis and hazard identification

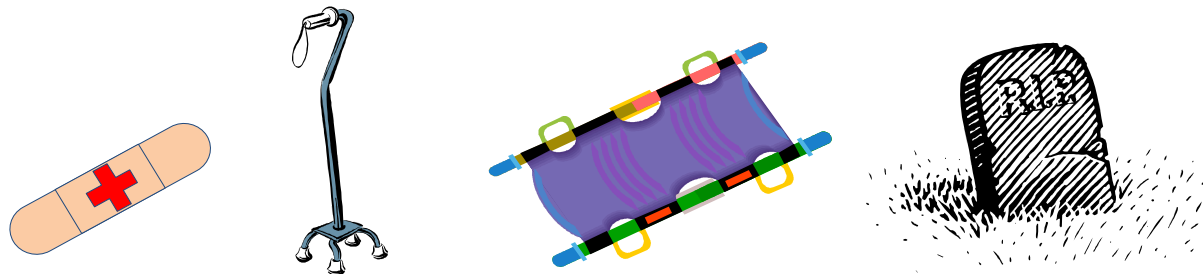
- List of driving and operating situations
→ Estimation of the probability of **E**xposure
 - Detailing failure modes leading to hazards in specific situations
→ Estimation of **C**ontrollability
 - Evaluating consequences of the hazards
→ Estimation of potential **S**everity
- Respect only the plain item (do not take risk-reducing measures into account!)
- Involve persons with good knowledge and domain experience



Associations of the central concepts



Severity – Measure of the extent of harm to an individual in a specific situation



Class	S0	S1	S2	S3
Description	No injuries	Light and moderate injuries	Severe and life-threatening injuries (survival probable)	Life-threatening injuries (survival uncertain), fatal injuries

Exposure – State of being in an operational situation that can be hazardous if coincident with the failure mode under analysis

Class	E0	E1	E2	E3	E4
Description	Incredible	Very low probability	Low probability	Medium probability	High probability
Time		Not specified	Less than 1% of average operating time	1% - 10% of average operating time	> 10% of average operating time
Event		Situations that occur less often than once a year for the great majority of drivers	Situations that occur a few times a year for the great majority of drivers	Situations that occur once a month or more often for an average driver	All situations that occur during almost every drive on average

Controllability – Avoidance of the specified harm or damage through the timely reactions of the persons involved

Class	C0	C1	C2	C3
Description	Controllable in general	Simply controllable	Normally controllable	Difficult to control or uncontrollable
Definition	Controllable in general	99% or more of all drivers or other traffic participants are usually able to avoid a specific harm.	90% or more of all drivers or other traffic participants are usually able to avoid a specific harm.	Less than 90% of all drivers or other traffic participants are usually able, or barely able, to avoid a specific harm.

Combinations of Severity, Exposure and Controllability result in the applicable ASIL.

The ASIL's influence the development process of the items.

QM = Quality Management
No specific ISO 26262 requirement has to be observed

If S0 or E0 or C0 is set, no ASIL is required (QM).

		C1	C2	C3
S1	E1	QM	QM	QM
	E2	QM	QM	QM
	E3	QM	QM	A
	E4	QM	A	B
S2	E1	QM	QM	QM
	E2	QM	QM	A
	E3	QM	A	B
	E4	A	B	C
S3	E1	QM	QM	A
	E2	QM	A	B
	E3	A	B	C
	E4	B	C	D

Safety Goals

- Top-level safety requirements as a result of the hazard analysis and risk assessment
- Assigned to each identified hazard rated with an ASIL A-D
- Lead to item characteristics needed to avert hazards or to reduce risks associated with the hazards to an acceptable level

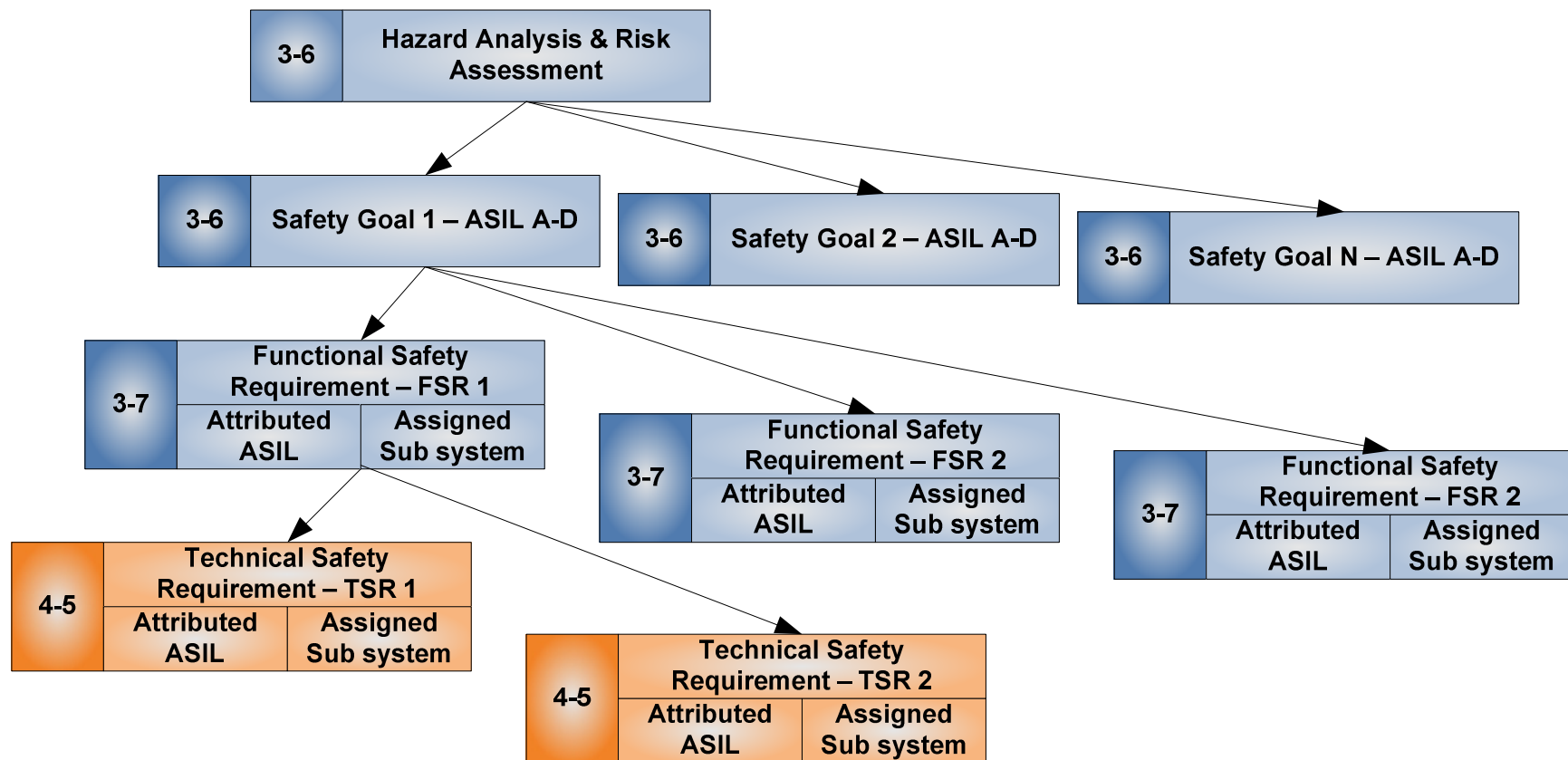
Example for safety goals: Park Brake System

ID	Safety Goal	ASIL
G1	Avoidance of unintended maximum brake force build up at one or several wheels during drive and in all environmental conditions	D
G2	Guarantee the specified parking brake function in use case situation "parking on slope" in all environmental conditions	A
G3	Avoidance of unintended release of the parking brake in use case situation "parking on slope" in all environmental conditions	C

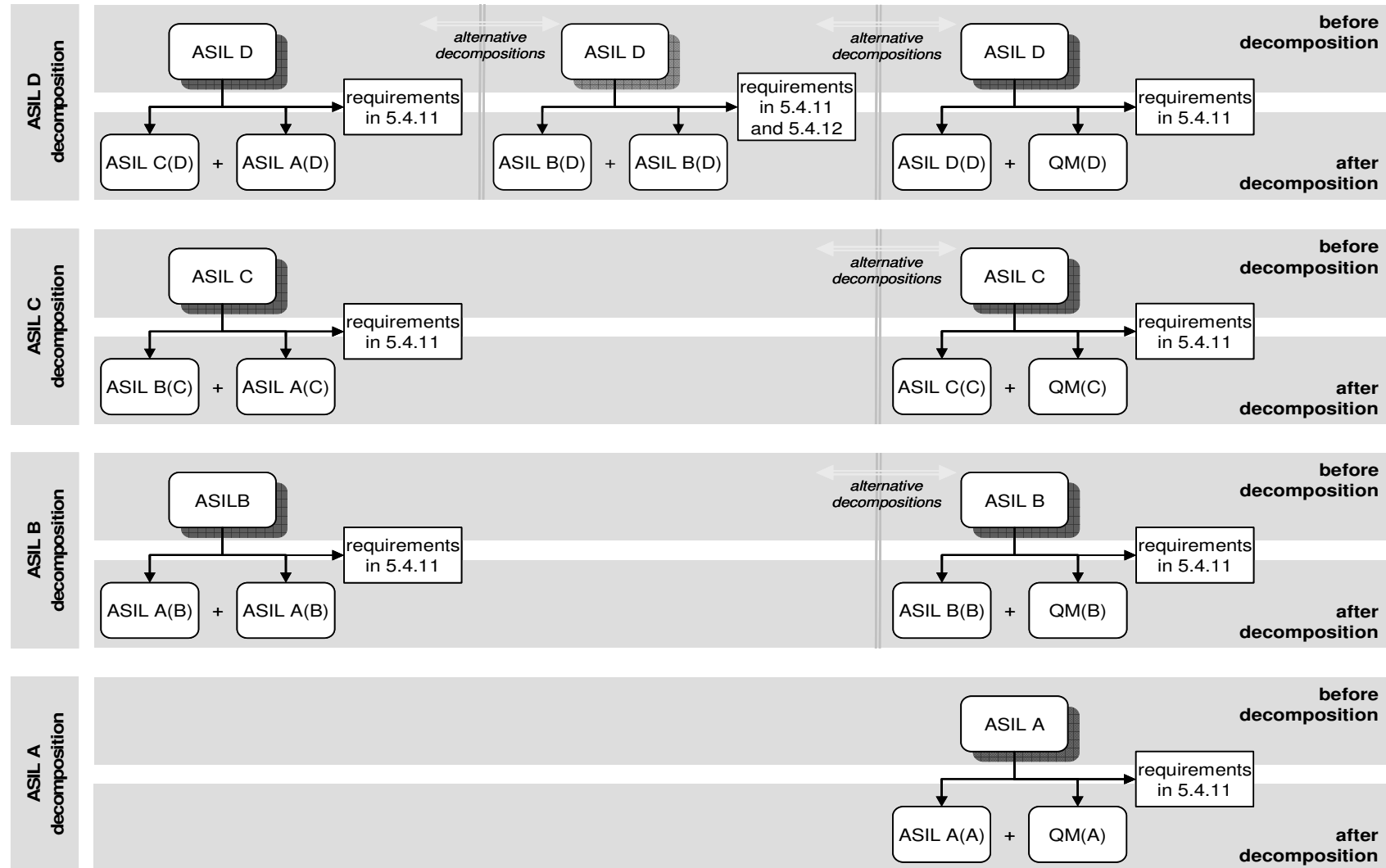


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Safety Goals and Functional Safety Requirements

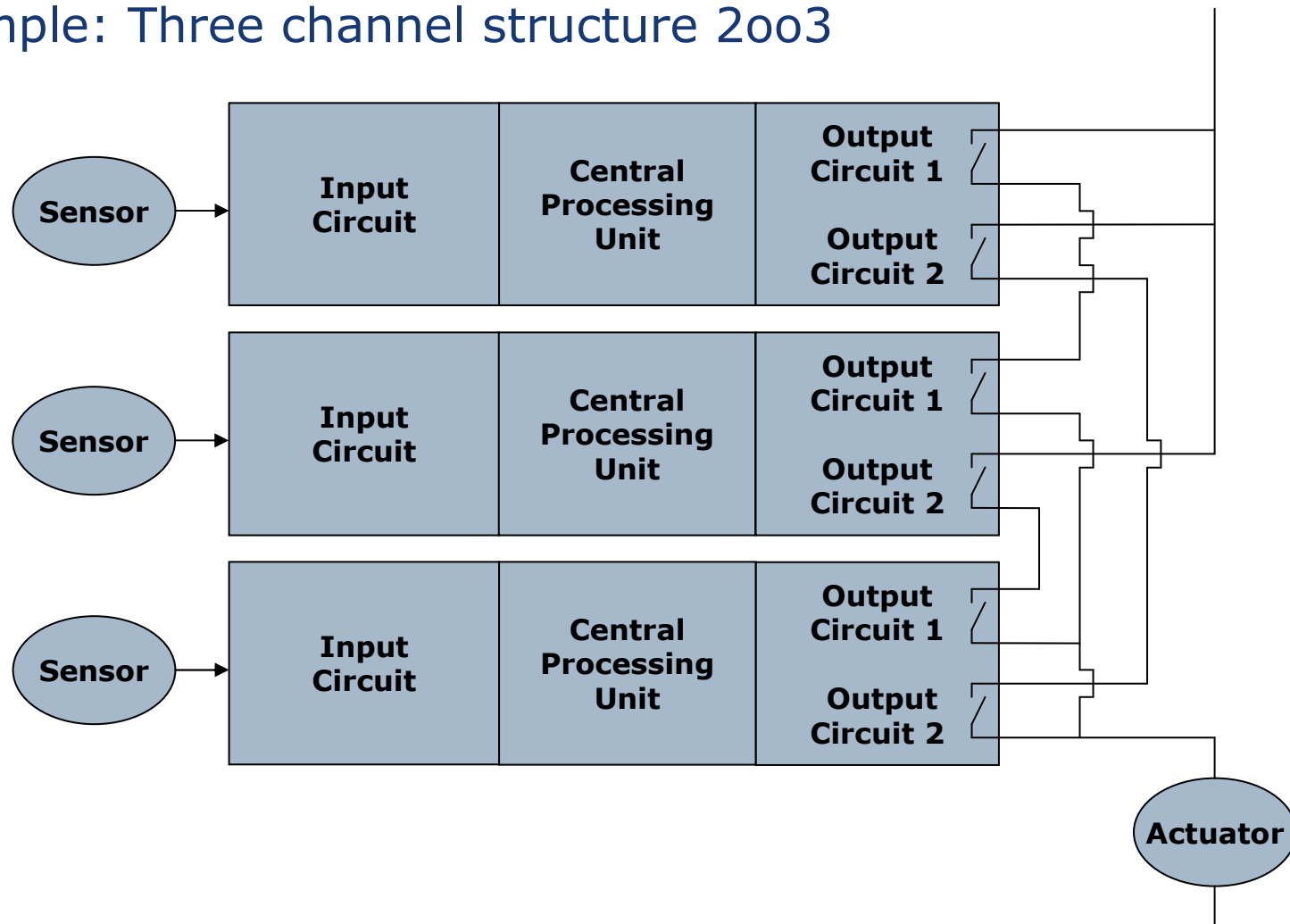


ASIL Decomposition



Source: ISO/FDIS 26262-9 – BL18

Example: Three channel structure 2003

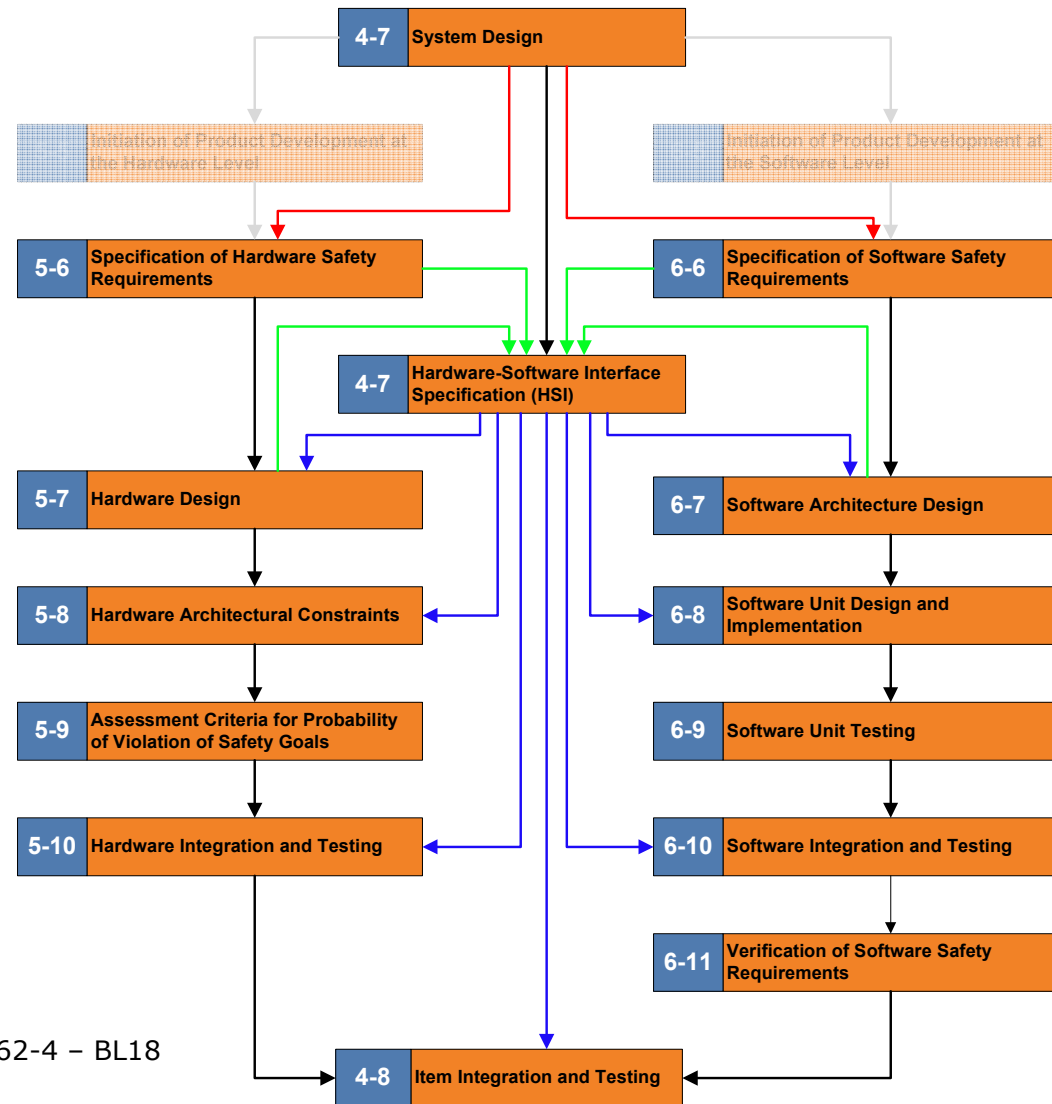




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Product Development at Hardware & Software Level

Important part:
Hardware-Software
Interface
Specification (HSI)



Source: ISO/FDIS 26262-4 – BL18

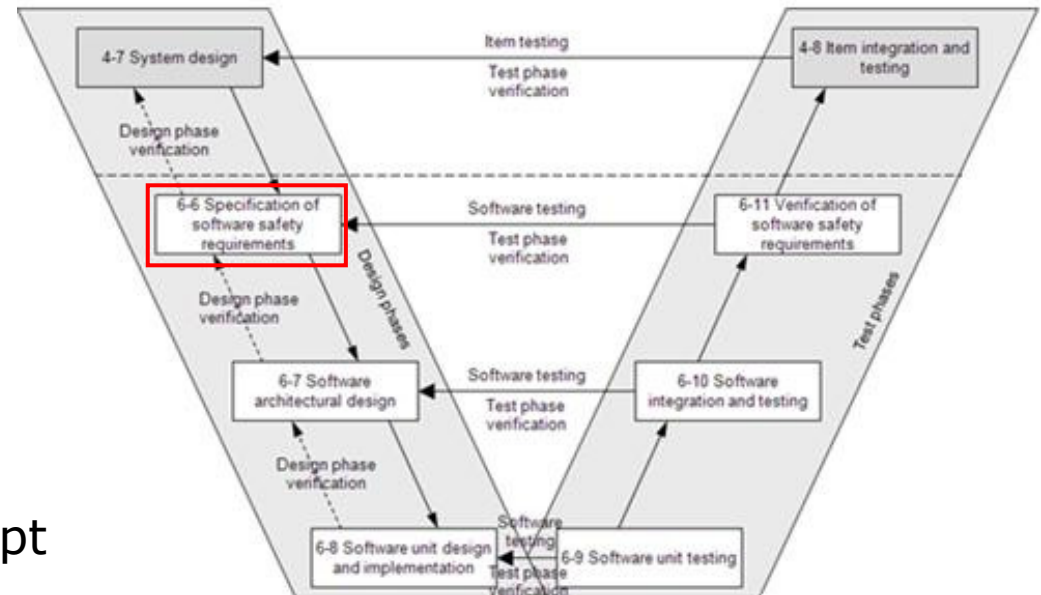
Topics to be covered by modeling and coding guidelines

Topics		ASIL			
		A	B	C	D
1a	Enforcement of low complexity	++	++	++	++
1b	Use of language subsets	++	++	++	++
1c	Enforcement of strong typing	++	++	++	++
1d	Use of defensive implementation techniques	o	+	++	++
1e	Use of established design principles	+	+	+	++
1f	Use of unambiguous graphical representation	+	++	++	++
1g	Use of style guides	+	++	++	++
1h	Use of naming conventions	++	++	++	++

Source: ISO/FDIS 26262-6:2011

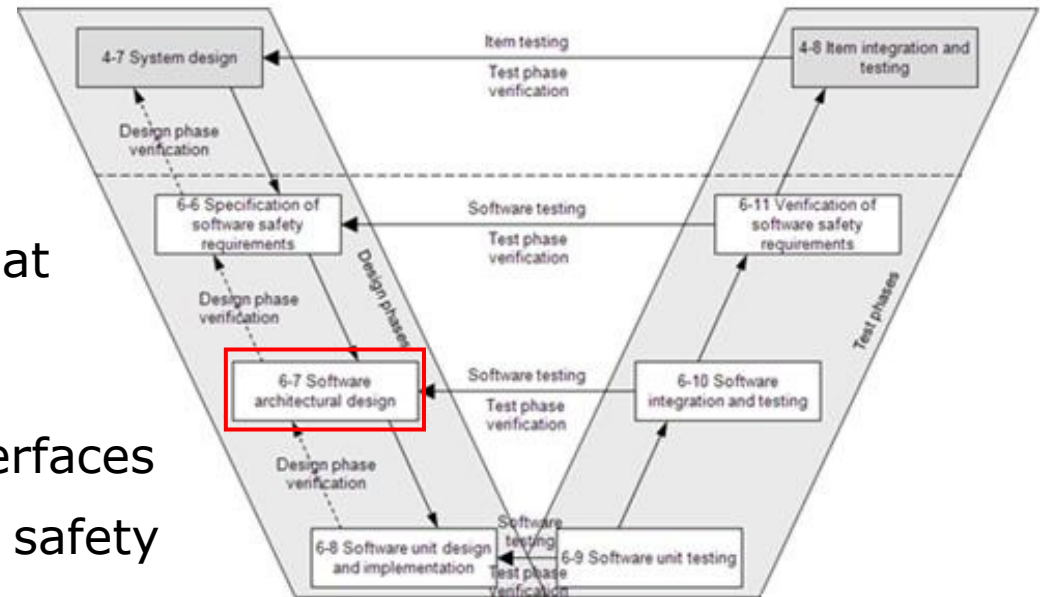
Goals

- Derive Software Safety Requirements from and ensure consistency with
 - System Design
 - Technical Safety Concept
- Detail the hardware-software interface requirements



Goals

- Develop an Architecture that implements the Software Safety Requirements
 - Static and dynamic interfaces
 - Safety-related and non safety related requirements
- Verify the Software Architecture
 - Compliance with the requirements
 - Compatibility with hardware
 - Respect of design principles and standards



Principles for software architectural design

Methods		ASIL			
		A	B	C	D
1a	Hierarchical structure of software components	++	++	++	++
1b	Restricted size of software components	++	++	++	++
1c	Restricted size of interfaces	+	+	+	+
1d	High cohesion within each software component	+	++	++	++
1e	Restricted coupling between software components	+	++	++	++
1f	Appropriate scheduling properties	++	++	++	++
1g	Restricted use of interrupts	+	+	+	++

Source: ISO/FDIS 26262-6:2011

Based on the results of the safety analysis the mechanisms for error detection and error handling shall be applied

Methods		ASIL			
		A	B	C	D
1a	Range checks of input and output data	++	++	++	++
1b	Plausibility check	+	+	+	++
1c	Detection of data errors	+	+	+	+
1d	External monitoring facility	o	+	+	++
1e	Control flow monitoring	o	+	++	++
1f	Diverse software design	o	o	+	++

Error detection

Methods		ASIL			
		A	B	C	D
1a	Static recovery mechanism	+	+	+	+
1b	Graceful degradation	+	+	++	++
1c	Independent parallel redundancy	o	o	+	++
1d	Correcting codes for data	+	+	+	+

Error handling

Source: ISO/FDIS 26262-6:2011

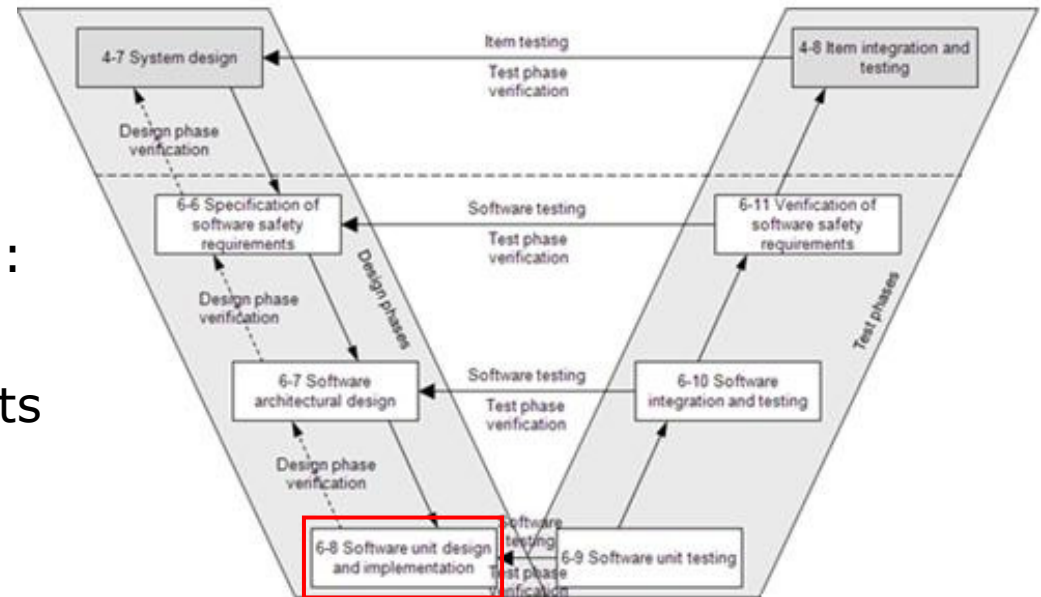
Methods for the verification of the software architectural design

Methods		ASIL			
		A	B	C	D
1a	Walk-through of the design	++	+	o	o
1b	Inspection of the design	+	++	++	++
1c	Simulation of dynamic parts of the design	+	+	+	++
1d	Prototype generation	o	o	+	++
1e	Formal verification	o	o	+	+
1f	Control flow analysis	+	+	++	++
1g	Data flow analysis	+	+	++	++

Source: ISO/FDIS 26262-6:2011

Goals

- Specify SW Units based on:
 - SW Architecture
 - SW Safety Requirements
- Implement the SW Units
- Verify SW Units
 - Code reviews / inspections



Design principles for software unit design and implementation

Methods		ASIL			
		A	B	C	D
1a	One entry and one exit point in subprograms and functions	++	++	++	++
1b	No dynamic objects or variables, or else online test during their creation	+	++	++	++
1c	Initialization of variables	++	++	++	++
1d	No multiple use of variable names	+	++	++	++
1e	Avoid global variables or else justify their usage	+	+	++	++
1f	Limited use of pointers	o	+	+	++
1g	No implicit type conversions	+	++	++	++
1h	No hidden data flow or control flow	+	++	++	++
1i	No unconditional jumps	++	++	++	++
1j	No recursions	+	+	++	++

Source: ISO/FDIS 26262-6:2011

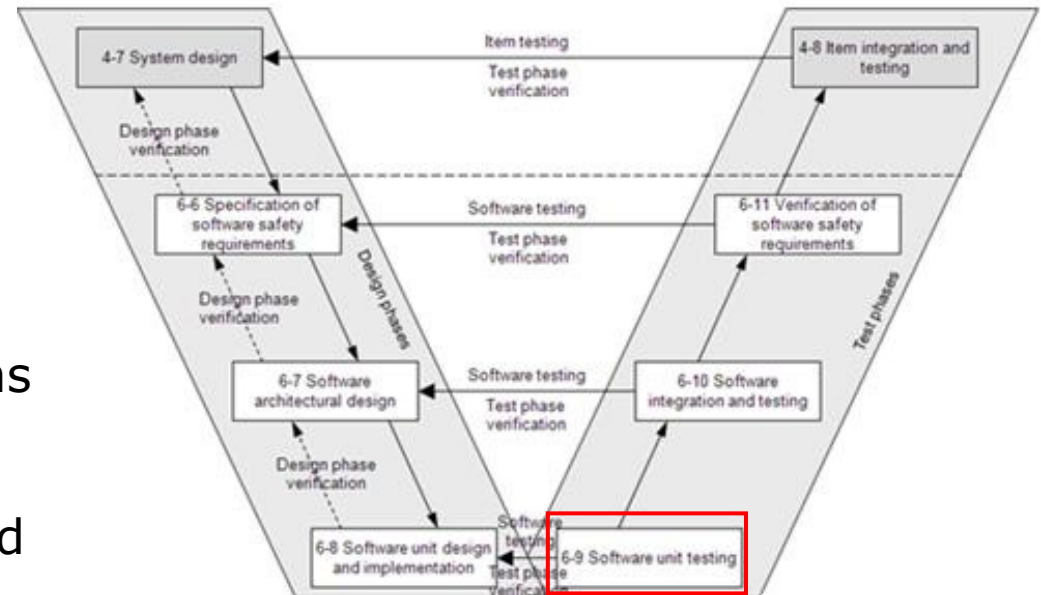
Example: MISRA C

- Programming standard developed by Motor Industry Software Reliability Association
- Avoidance of runtime errors due to unsafe C constructs
- The respect of MISRA C shall be demonstrated → static code analysis

Infos: www.misra.org

Goals

- Demonstrate that the software units fulfil the Software Unit Specifications
- Verify absence of undesired functionalities



The software unit testing methods shall be applied to demonstrate that the software units achieve:

- Compliance with the software unit design specification
- Compliance with the specification of the hardware-software interface
- Correct implementation of the functionality
- Absence of unintended functionality
- Robustness
- Sufficiency of the resources to support the functionality

Methods		ASIL			
		A	B	C	D
1a	Requirements-based test	++	++	++	++
1b	Interface test	++	++	++	++
1c	Fault injection test	+	+	+	++
1d	Resource usage test	+	+	+	++
1e	Back-to-back comparison test between model and code, if applicable	+	+	++	++

Source: ISO/FDIS 26262-6:2011

Methods for deriving test cases for software unit testing

Methods		ASIL			
		A	B	C	D
1a	Analysis of requirements	++	++	++	++
1b	Generation and analysis of equivalence classes	+	++	++	++
1c	Analysis of boundary values	+	++	++	++
1d	Error guessing	+	+	+	+

Source: ISO/FDIS 26262-6:2011

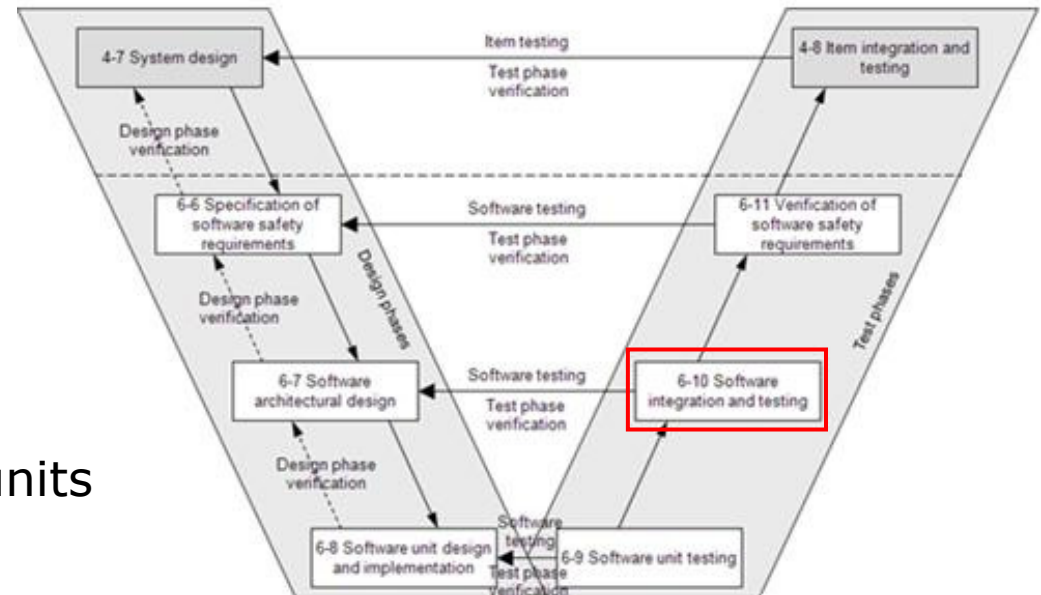
Structural coverage metrics at the software unit level

Methods		ASIL			
		A	B	C	D
1a	Statement coverage	++	++	+	+
1b	Branch coverage	+	++	++	++
1c	MC/DC (Modified Condition/Decision Coverage)	+	+	+	++

Source: ISO/FDIS 26262-6:2011

Goals

- Integrate SW components
 - Integration sequence
 - Testing of interfaces between components/units
- Verify correct implementation of the SW Architecture



The software integration test methods shall be applied to demonstrate that both the software components and the embedded software achieve:

- Compliance with the software architectural design
- Compliance with the specification of the hardware-software interface
- Correct implementation of the functionality
- Robustness and sufficiency of the resources to support the functionality

Methods		ASIL			
		A	B	C	D
1a	Requirements-based test	++	++	++	++
1b	Interface test	++	++	++	++
1c	Fault injection test	+	+	++	++
1d	Resource usage test	+	+	+	++
1e	Back-to-back comparison test between model and code, if applicable	+	+	++	++

Source: ISO/FDIS 26262-6:2011

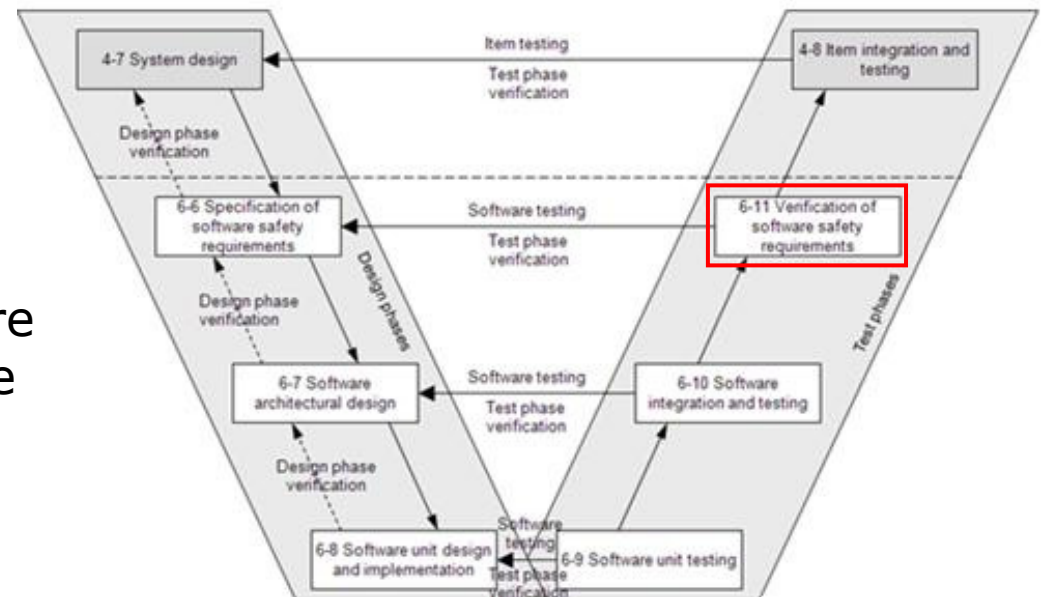
Structural coverage metrics at the software architectural level

Methods		ASIL			
		A	B	C	D
1a	Function coverage	+	+	++	++
1b	Call coverage	+	+	++	++

Source: ISO/FDIS 26262-6:2011

Goals

- Verify that the embedded software fulfils the Software Safety Requirements in the target environment

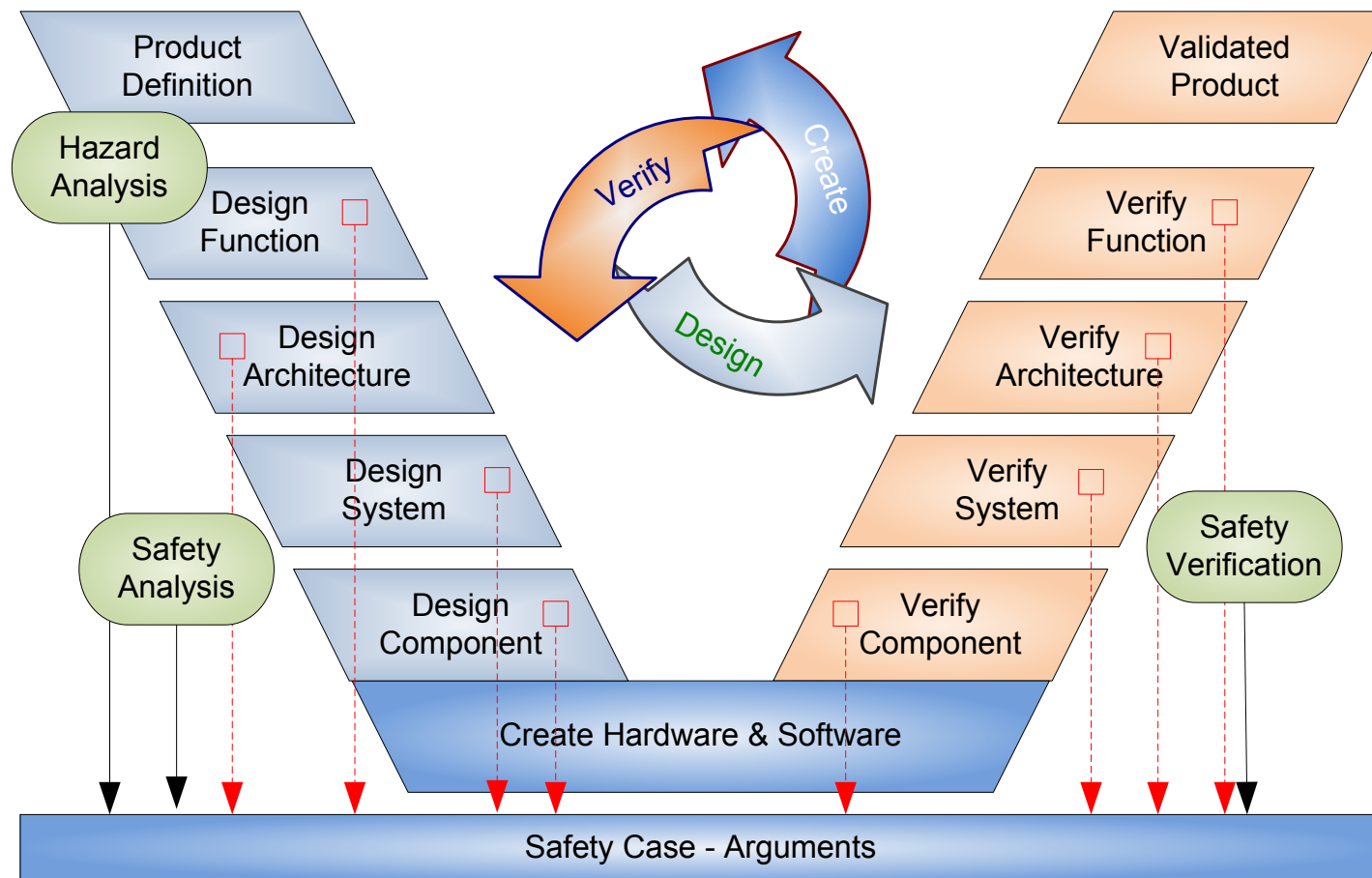


- Verify that the embedded software fulfils the software safety requirements
- Verification of the software safety requirements shall be executed on the target hardware
- The results of the verification of the software safety requirements shall be evaluated in accordance with:
 - Compliance with the expected results
 - Coverage of the software safety requirements
 - A pass or fail criteria

Methods		ASIL			
		A	B	C	D
1a	Hardware-in-the-loop	+	+	++	++
1b	Electronic control unit network environments	++	++	++	++
1c	Vehicles	++	++	++	++

Source: ISO/FDIS 26262-6:2011

What shall be provided to support the Safety Case?





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To determine the required level of confidence in a software tool, perform a use case analysis:

- Evaluate if a malfunctioning software tool and its erroneous output can lead to the violation of any safety requirement allocated to the safety-related item or element to be developed
- Establish probability of preventing or detecting such errors in its output
 - Considers measures internal to the software tool (e.g. monitoring)
 - Measures external to the software tool implemented in the development process for the safety-related item or element (e.g. guidelines, tests, reviews)

Tool Impact (TI) – Possibility that a safety requirement, allocated to the safety-related item or element, is violated if the software tool is malfunctioning or producing erroneous output

TI1 – no such possibility

TI2 – all other cases

Tool error Detection (TD) – Probability of preventing or detecting that the software tool is malfunctioning or producing erroneous output

TD1 – high degree of confidence for prevention or detection

TD2 – medium degree ...

TD3 – all other cases

Tool Confidence Level (TCL) – Based on the values determined for the classes of TI and TD

	TD1	TD2	TD3
TI1	TCL1	TCL1	TCL1
TI2	TCL1	TCL2	TCL3

Source: ISO/FDIS 26262-8:2011

Qualification methods:

Qualification methods of software tools classified TCL3		ASIL			
		A	B	C	D
1a	Increased confidence from use	++	++	+	+
1b	Evaluation of the tool development process	++	++	+	+
1c	Validation of the software tool	+	+	++	++
1d	Development in accordance with a safety standard	+	+	++	++

Qualification methods of software tools classified TCL2		ASIL			
		A	B	C	D
1a	Increased confidence from use	++	++	++	+
1b	Evaluation of the tool development process	++	++	++	+
1c	Validation of the software tool	+	+	+	++
1d	Development in accordance with a safety standard	+	+	+	++

Source: ISO/FDIS 26262-8:2011



- Who is Method Park?
- Why do we need Safety Standards?
- Process and Safety demands in Automotive
- Hazard Analysis and Risk Assessment
- Functional and Technical Development
- Software Process in detail
- Tool Qualification
- **Summary**

- Today's electronic systems are too complex to understand all potential hazards
- An approach for Functional Safety is needed to avoid severe injuries and damages in human lives and property
- A standardized way to show that your product is safe is needed – best practice yet not fully established – guidance needed





Thank you !

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