



## Verlässliche Echtzeitsysteme – Können wir unseren Autos noch vertrauen?

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23.06.2015



- Who is Method Park?
- Why do we need Safety Standards?
- Process and Safety demands in Automotive
- Hazard Analysis and Risk Assessment
- Functional and Technical Development
- Software Process in detail
- Tool Qualification
- Summary

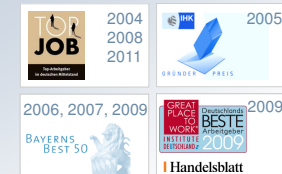


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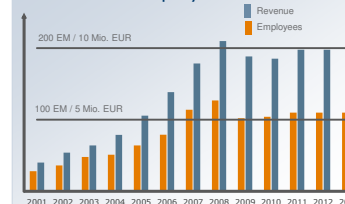
### Facts

- Founded in 2001
- Locations:  
Germany: Erlangen, Munich, Stuttgart  
USA: Detroit, Miami

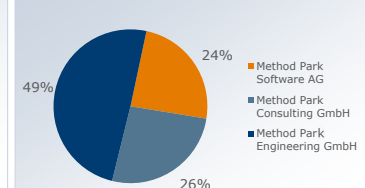
### Awards



### Revenue & employees



### Business unit revenue



## Product



Solution for integrated  
process management

## Engineering

## Areas:

- Project Coaching
- Software Development & Support
- On Site Support
- Off Site Projects
- Fixed Price Projects

## Consulting/Coaching

## Topics:

- CMMI®, SPICE, Automotive SPICE®
- Project Management & Agile Development
- Process Improvement & Quality Management
- Functional Safety (ISO 26262)
- Variant & Complexity Management
- Product Line Management (PLM)
- Application Lifecycle Management (ALM)
- Requirements Management
- System & Software Architecture & Design
- AUTOSAR
- System & Software Testing

## Training

Wide range of seminars in the division  
systems and software engineering

Accredited by the following organizations:  
SEI, ISTQB, ISQI, INTACS, IREB, ISAQB, ECQA

## Automotive

- Audi
- Automotive Lighting
- Blaupunkt
- BMW
- Bosch
- Brose
- Continental
- Daimler
- Delphi
- ETAS
- HE System Elektronik
- Helbako
- Hella
- IAV
- Johnson Controls
- Knorr-Brakes
- Kostal
- Marquardt
- Peiker Acoustic
- Preh
- Renesas
- Thales
- TRW
- Volkswagen
- Webasto
- Witte Automotive
- ZF
- Zollner

Engineering/  
Automation

- 7 layers
  - ABB
  - BDT
  - Carl Schenk
  - EBM Papst
  - Heidelberger Druckmaschinen
  - Insta
  - Kratzer Automation
  - Magirus
  - Mettler Toledo
  - Mühlbauer Group
  - Rohde&Schwarz
  - Siemens Industries
  - Wago
- Government/Public**
- Bundesagentur für Arbeit
  - CuriaVant
  - Kassenärztliche Vereinigung Baden-Württemberg

## Healthcare

- Carl Zeiss
- Siemens
- Fresenius
- Agfa
- Ziehm Imaging
- NewTec
- Innovations Software
- Technology

## IT/

## Telecommunications

- GFT
- Intersoft
- Nash Technologies
- NEC
- Micronas
- Siemens
- Teleca

## Defense

- Airbus Deutschland
- Diehl
- EADS
- Elbit
- Orbital
- Raytheon Anschütz
- KID

## Further

- Bosch und Siemens Hausgeräte
- Deutsche Post
- GMC Software Technologies
- Kodak
- Landesbank Kiel
- Raab Karcher
- Giesecke & Devrient
- Thales Rail Signaling



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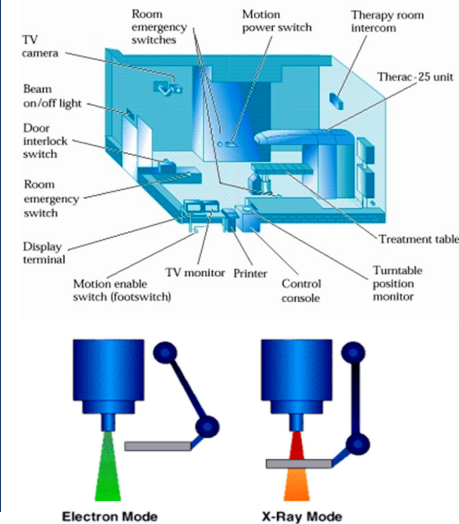
Source: ESA

Detonation shortly after  
takeoff because of an error  
in the control software

Root cause:  
Insufficient tests of a reused  
“proven in use” software  
component



Source: YouTube



Irradiation of patients with a lethal dose

Root cause:  
Insufficient safety functions

PATIENT NAME : TEST		BEAM TYPE: X		ENERGY (MeV): 25	
TREATMENT MODE : FIX					
UNIT RATE/MINUTE		ACTUAL		PRESCRIBED	
MONITOR UNITS		50 50		200	
TIME (MIN)		0.27		1.00	
GANTRY ROTATION (DEG)		0.0		0 VERIFIED	
COLLIMATOR ROTATION (DEG)		359.2		359 VERIFIED	
COLLIMATOR X (CM)		14.2		14.3 VERIFIED	
COLLIMATOR Y (CM)		27.2		27.3 VERIFIED	
WEDGE NUMBER		1		1 VERIFIED	
ACCESSORY NUMBER		0		0 VERIFIED	
DATE : 84-OCT-26		SYSTEM : BEAM READY		OP. MODE : TREAT AUTO	
TIME : 12:55:8		TREAT : TREAT PAUSE		X-RAY 17377	
OPR ID : T25V02 R03		REASON : OPERATOR		COMMAND:	

Application that can cause harm (a risk):

- Airbag exploding when infant is sitting in front seat

Need to assess the risk

- Infant getting injured – “not good at all”

Find a mitigation strategy, e.g. a safety function:

- Detecting infant in front seat and disabling airbag
  - a) sensor delivers signal to
  - b) software/hardware controlling an
  - c) actuator (disabler)



Functional Safety is then:

- An infant in front seat is not exposed to an unacceptable (unreasonable) risk

**Question:**  
How to measure  
and agree on the  
measures?

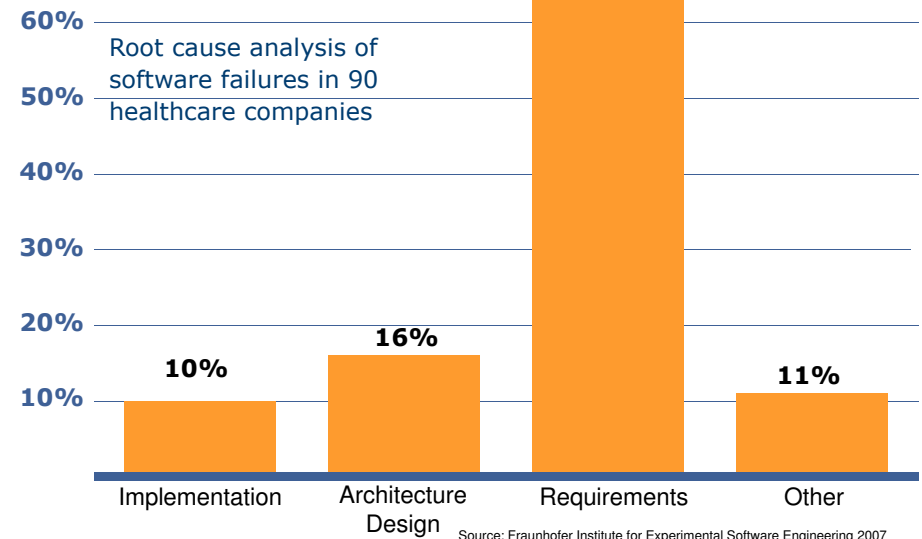
### Warning

Your Brake Function is temporarily unavailable, please **STOP** the Car immediately!

OK

CANCEL

**Question:**  
Do we dare putting  
software in direct  
control of people's life?



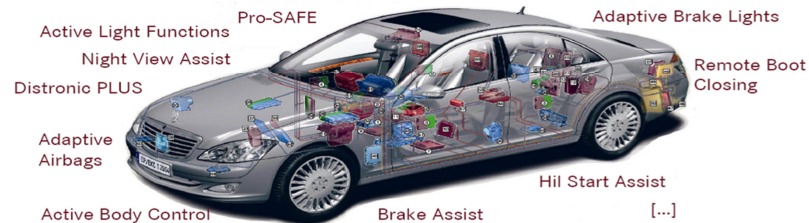
## DAIMLER

Functional Safety

## Current Situation

## Trends in Automotive Electric/Electronics (E/E)

- Increasing functionality and complexity of software-based car functions
- Increasing risks from systematic faults and random hardware faults
- Most of the new car functions are safety-related



Source: © Courtesy of Daimler; Presentation given at Automotive Electronics and Electrical Systems Forum 2008, May 6, 2008, Stuttgart, Germany

## § 823 Abs. 1 BGB:

„Anyone who injures intentionally or negligently the life, body, health, liberty, property or any other right of another person, is obliged to compensate for the resulting damages.“

## § 1 Abs. 1 ProdhaftG:

„If someone is killed, his body or health injured or an item damaged by a defect in a product, the manufacturer of the product is obliged to replace the resulting damages.“



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**Safety**

... is the absence of unacceptable (unreasonable) risks that can cause harm achieved through a planned strategy

**Functional Safety**

... is part of the overall safety that depends on a system or equipment operating correctly in response to its inputs.

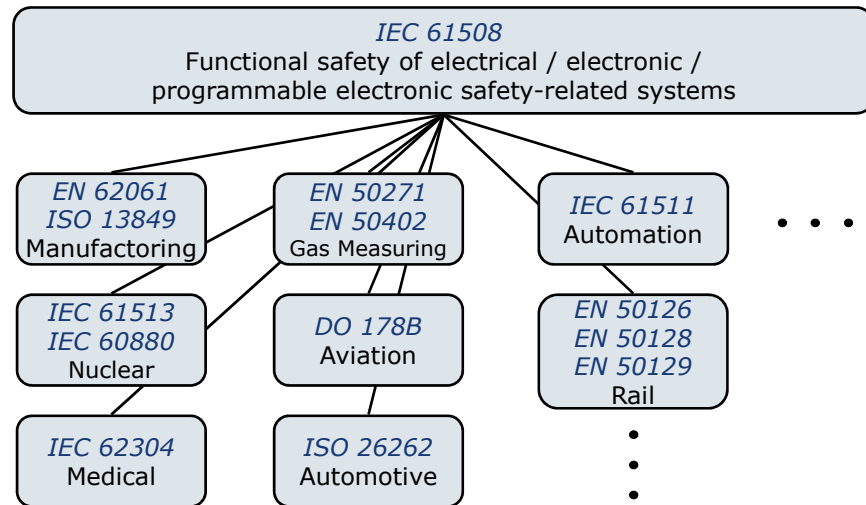
... is achieved when every specified safety function is carried out and the level of performance required of each safety function is met

... is **not** to provide the perfect car, but a safe car.

**Functional Safety Management**

... is the management (plan, do, act, check) of all activities necessary to reach functional safety.





## Why not using IEC 61508?

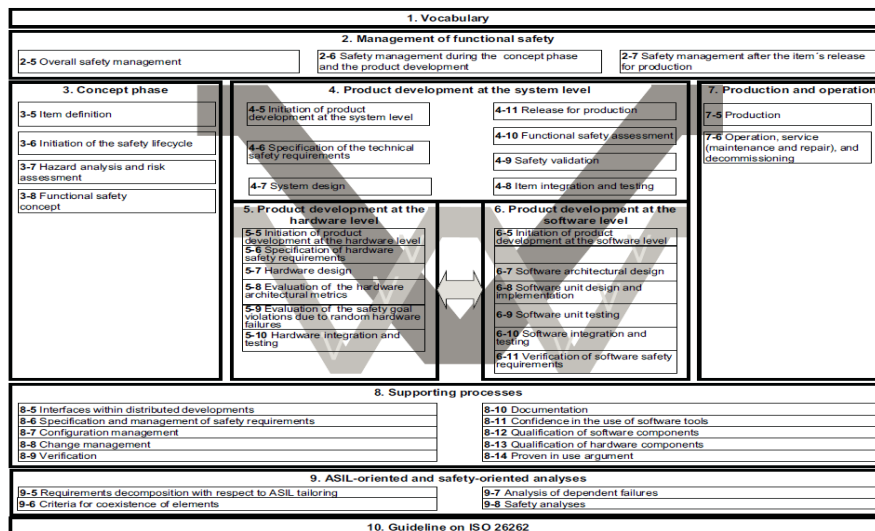
Lessons learnt from application of IEC 61508 in automotive industry:

- Not adapted to real-time and integrated embedded systems
- Not adapted to automotive development and life cycles
- No requirements for manufacturer / supplier relationship
- No 'consumer-goods' orientation
- ...

Companies had to solve these issues themselves until introduction of

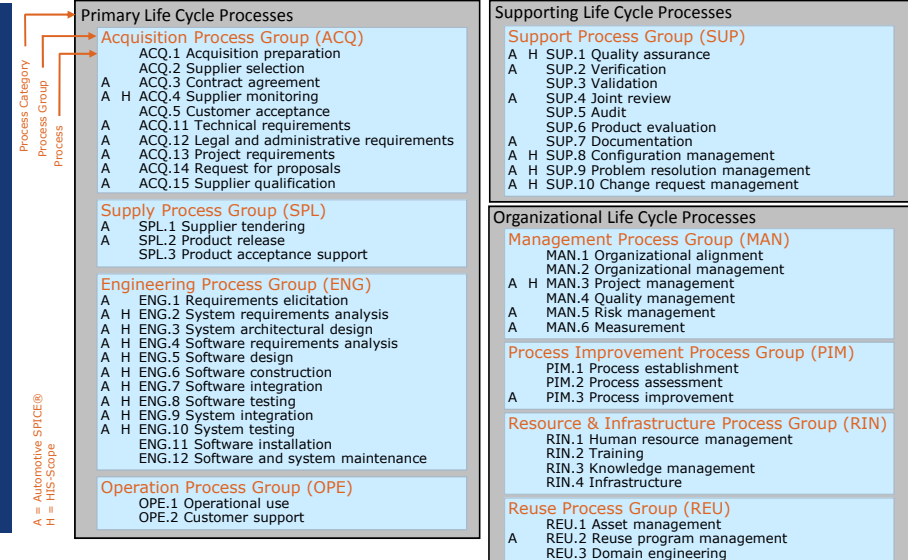
# ISO 26262

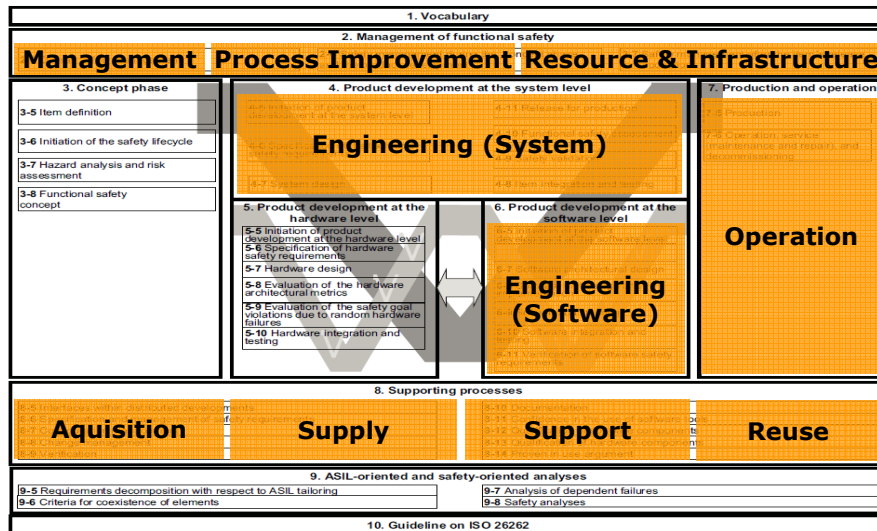
## Structure of ISO 26262



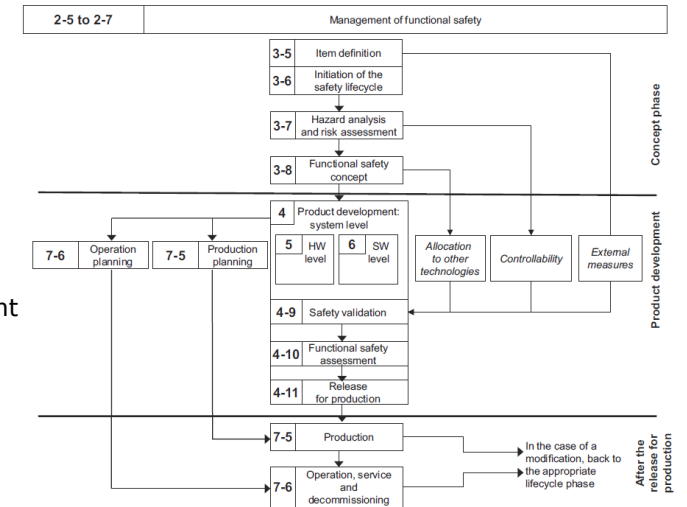
Source: ISO 26262:2011

## ISO 15504 &amp; Automotive SPICE®



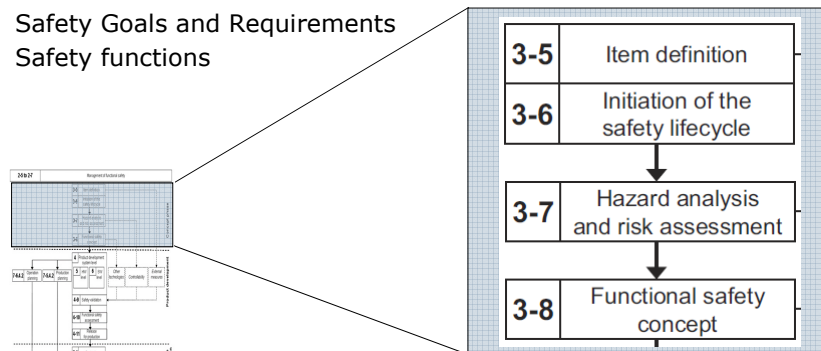


- Concept
- Development
- Production



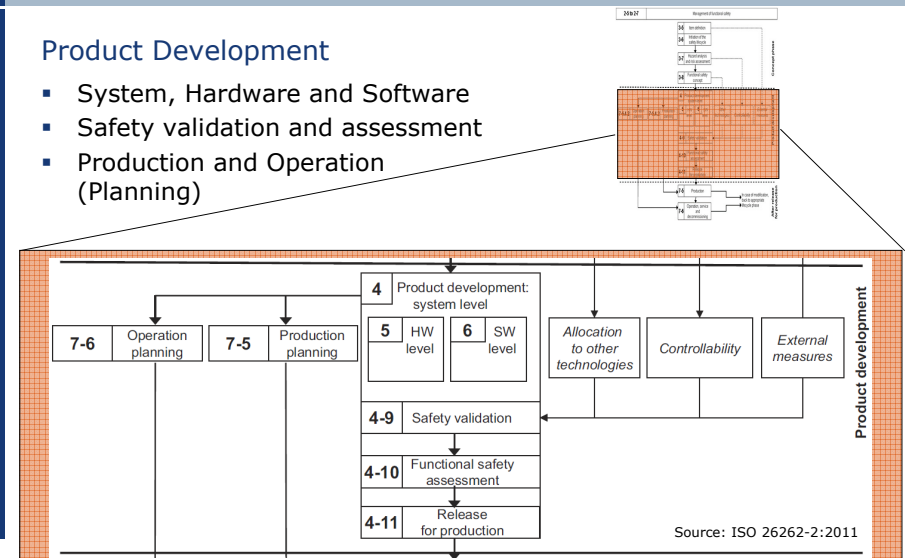
### Concept Phase

- Focus on entire system
- Risks
- Safety Goals and Requirements
- Safety functions

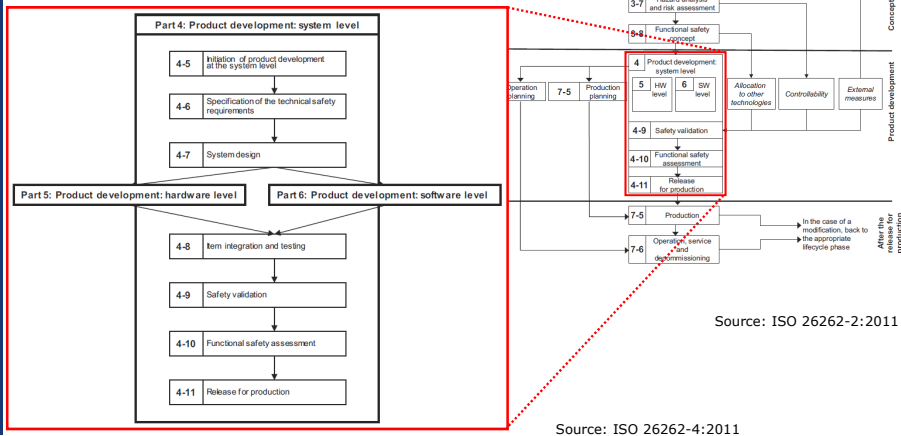


### Product Development

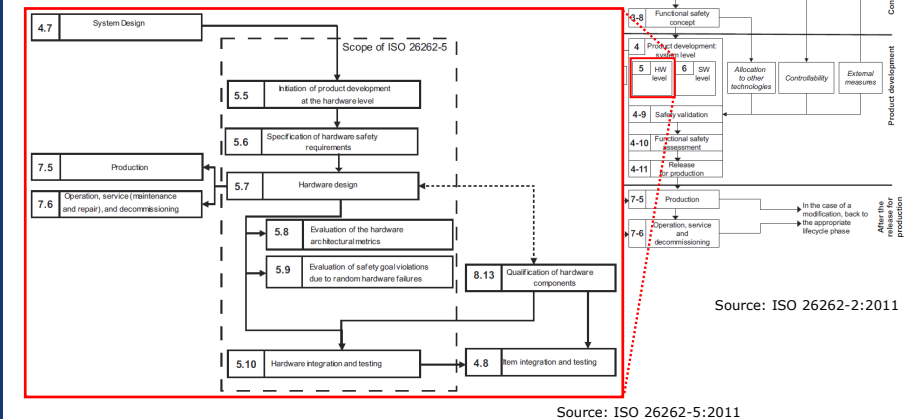
- System, Hardware and Software
- Safety validation and assessment
- Production and Operation (Planning)



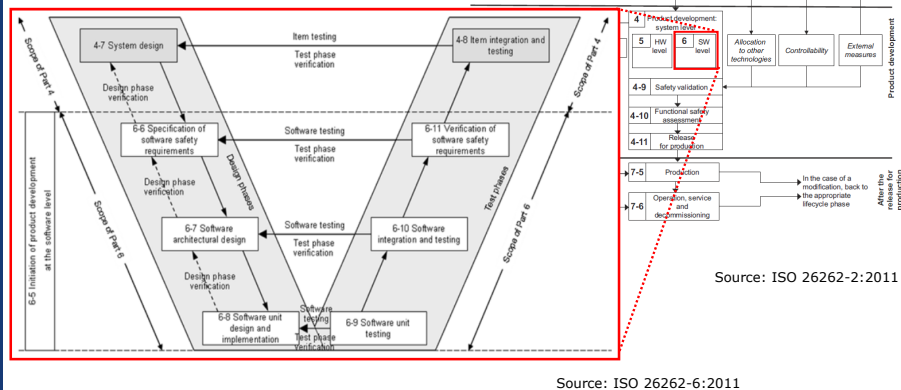
## Product Development at the System Level



## Product Development at the Hardware Level

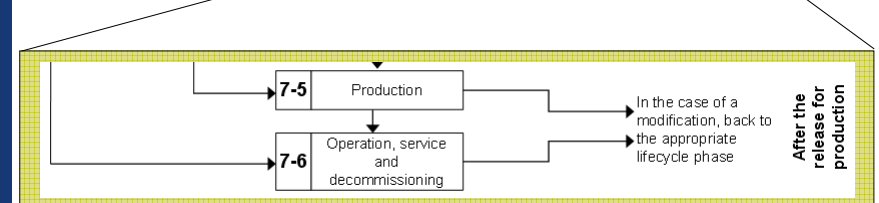


## Product Development at the Software Level



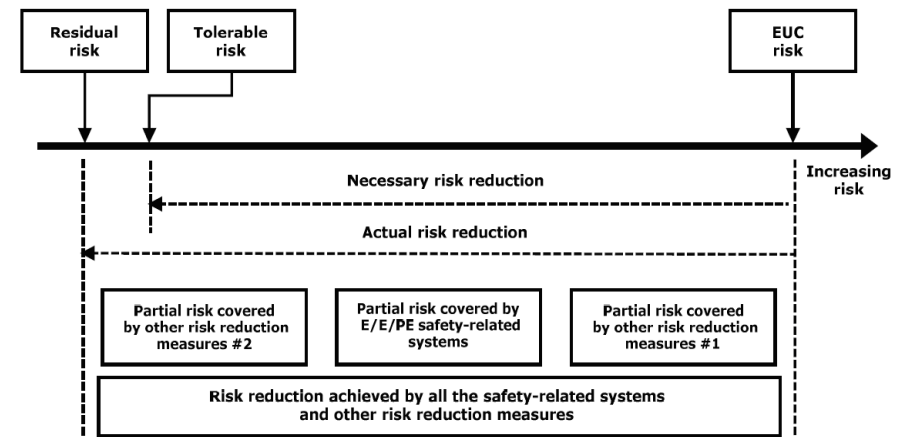
## After Release for Production

- Production
- Installation
- Operation
- Maintenance and reparation
- Disassembly



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## Risk reduction to an acceptable level



Source: IEC 61508-5:2010

## Situation analysis and hazard identification

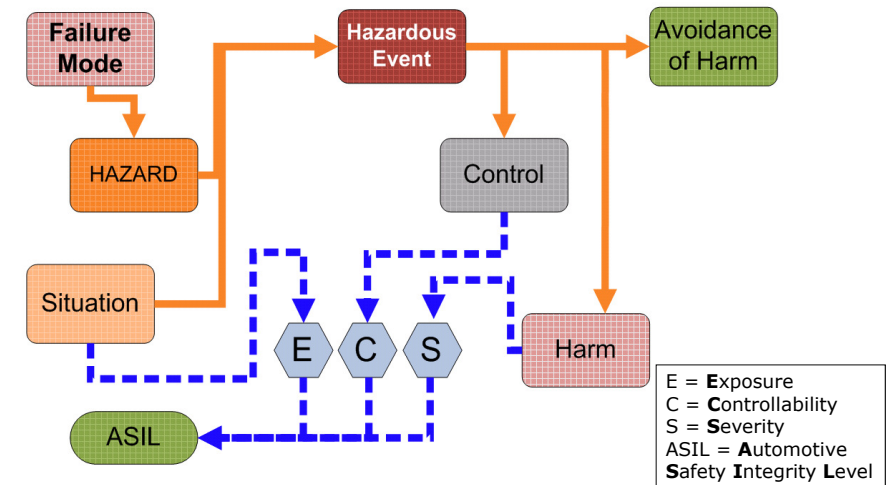
- List of driving and operating situations  
→ Estimation of the probability of **Exposure**
- Detailing failure modes leading to hazards in specific situations  
→ Estimation of **Controllability**
- Evaluating consequences of the hazards  
→ Estimation of potential **Severity**



- Respect only the plain item (do not take risk-reducing measures into account!)
- Involve persons with good knowledge and domain experience



## Associations of the central concepts





**Exposure**

State of being in an operational situation that can be hazardous if coincident with the failure mode under analysis

Class	E0	E1	E2	E3	E4
Description	Incredible	Very low probability	Low probability	Medium probability	High probability
Time		Not specified	Less than 1% of average operating time	1% - 10% of average operating time	> 10% of average operating time
Event		Situations that occur less often than once a year for the great majority of drivers	Situations that occur a few times a year for the great majority of drivers	Situations that occur once a month or more often for an average driver	All situations that occur during almost every drive on average

Source: ISO 26262-3:2011

**Controllability**

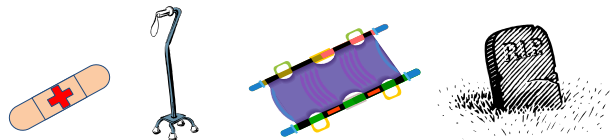
Avoidance of the specified harm or damage through the timely reactions of the persons involved

Class	C0	C1	C2	C3
Description	Controllable in general	Simply controllable	Normally controllable	Difficult to control or uncontrollable
Definition	Controllable in general	99% or more of all drivers or other traffic participants are usually able to avoid a specific harm.	90% or more of all drivers or other traffic participants are usually able to avoid a specific harm.	Less than 90% of all drivers or other traffic participants are usually able, or barely able, to avoid a specific harm.

Source: ISO 26262-3:2011

**Severity**

Measure of the extent of harm to an individual in a specific situation



Class	S0	S1	S2	S3
Description	No injuries	Light and moderate injuries	Severe and life-threatening injuries (survival probable)	Life-threatening injuries (survival uncertain), fatal injuries

Source: ISO 26262-3:2011

Combinations of Severity, Exposure and Controllability result in the applicable ASIL.

The ASIL's influence the development process of the items.

QM = Quality Management  
No specific ISO 26262 requirement has to be observed

If S0 or E0 or C0 is set, no ASIL is required (QM).

		C1	C2	C3
S1	E1	QM	QM	QM
	E2	QM	QM	QM
	E3	QM	QM	A
	E4	QM	A	B
S2	E1	QM	QM	QM
	E2	QM	QM	A
	E3	QM	A	B
	E4	A	B	C
S3	E1	QM	QM	A
	E2	QM	A	B
	E3	A	B	C
	E4	B	C	D

Source: ISO 26262-3:2011

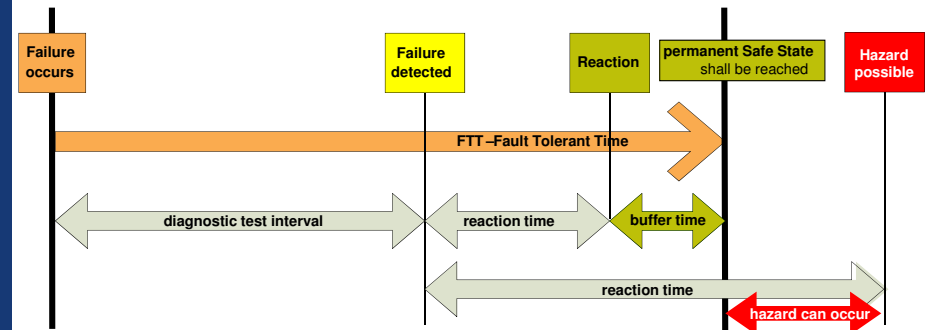
**Safety Goals**

- top-level safety requirements as a result of the hazard analysis and risk assessment
- assigned to each identified hazard rated with an ASIL A-D
- lead to item characteristics needed to avert hazards or to reduce risks associated with the hazards to an acceptable level
- are assigned to a safe state that must be reached in case of appearance
- indicate the maximum fault tolerance time within the safe state must be reached

fault tolerance time = fault recognition time + fault reaction time

**Safe State** – Operating mode of an item without an unreasonable level of risk

- Example: intended operating mode, degraded operating mode or switched-off mode



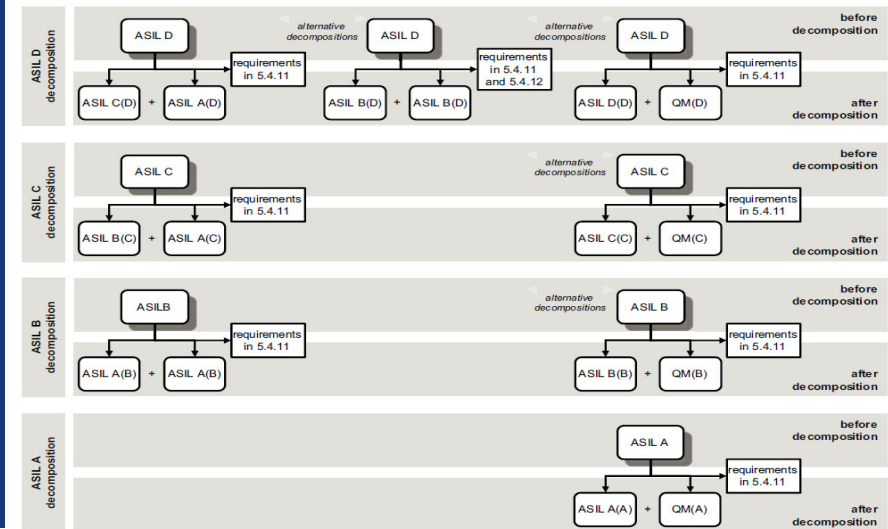
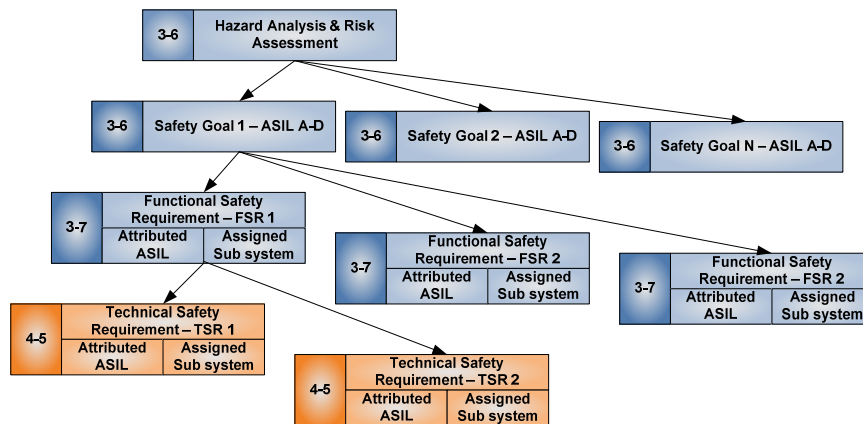
## Example for Safety Goals: Park Brake System

ID	Safety Goal	ASIL	Safe State	FTT
G1	Avoidance of unintended maximum brake force build up at one or several wheels during drive and in all environmental conditions	D	Brake released	50 ms
G2	Guarantee the specified parking brake function in use case situation "parking on slope" in all environmental conditions	A	Brake closed	500 ms
G3	Avoidance of unintended release of the parking brake in use case situation "parking on slope" in all environmental conditions	C	Brake closed	500 ms



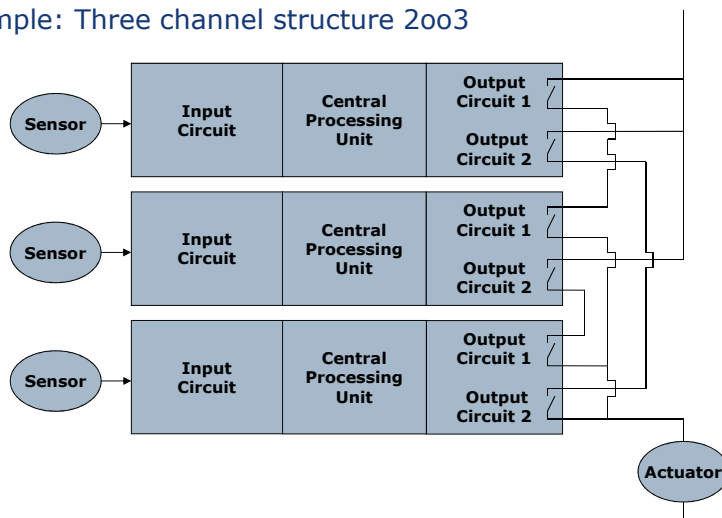
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## Safety Goals and Functional Safety Requirements



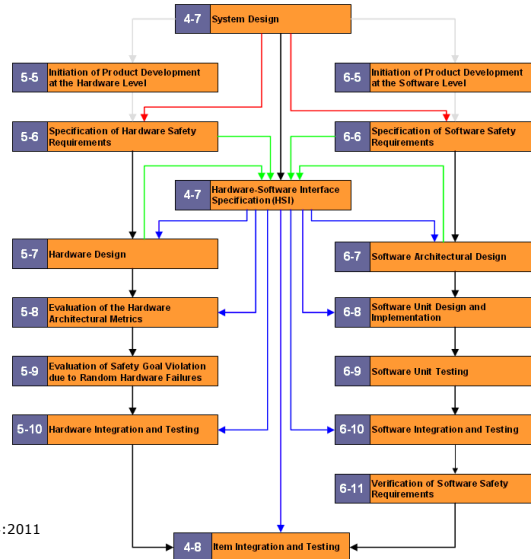
Source: ISO 26262-9:2011

## Example: Three channel structure 2oo3



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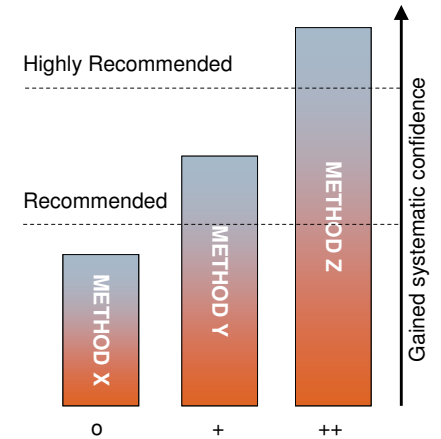
Important part:  
Hardware-Software  
Interface  
Specification (HSI)



Source: ISO 26262-4:2011

For each method, the degree of recommendation to use corresponding methods depends on the ASIL and is categorized as follows:

- “++” The method is highly recommended for this ASIL
- “+” The method is recommended for this ASIL
- “o” The method has no recommendation for or against its usage for this ASIL



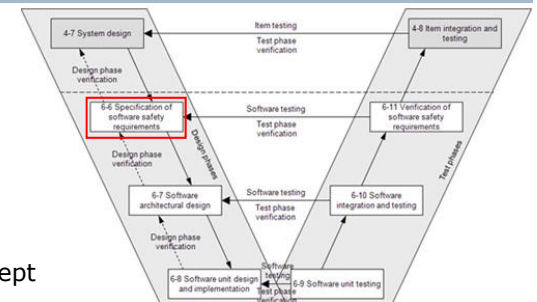
Topics to be covered by modeling and coding guidelines

Topics		ASIL			
		A	B	C	D
1a	Enforcement of low complexity	++	++	++	++
1b	Use of language subsets	++	++	++	++
1c	Enforcement of strong typing	++	++	++	++
1d	Use of defensive implementation techniques	o	+	++	++
1e	Use of established design principles	+	+	+	++
1f	Use of unambiguous graphical representation	+	++	++	++
1g	Use of style guides	+	++	++	++
1h	Use of naming conventions	++	++	++	++

Source: ISO 26262-6:2011

Goals

- Derive Software Safety Requirements from and ensure consistency with
  - System Design
  - Technical Safety Concept
- Detail the hardware-software interface requirements



Source: ISO 26262-6:2011

## Methods for specifying Safety Requirements

- Safety requirements shall be specified by an appropriate combination of natural language and methods listed in the table
- For higher level safety requirements (e.g. functional and technical safety requirements) natural language is more appropriate while for lower level safety requirements (e.g. software and hardware safety requirements) notations listed in the table are more appropriate

Methods		ASIL			
		A	B	C	D
1a	Informal notations for requirements specification	++	++	+	+
1b	Semi-formal notations for requirements specification	+	+	++	++
1c	Formal notations for requirements specification	+	+	+	+

Source: ISO 26262-8:2011

## Methods for the verification of Safety Requirements

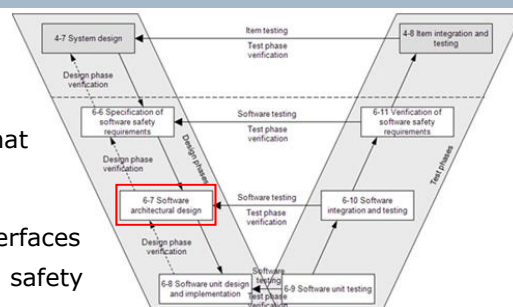
Methods		ASIL			
		A	B	C	D
1a	Verification by walk-through	++	+	o	o
1b	Verification by inspection	+	++	++	++
1c	Semi-formal verification (e.g. executable models)	+	+	++	++
1d	Formal verification	o	+	+	+

Source: ISO 26262-8:2011

## Software Architectural Design

### Goals

- Develop an Architecture that implements the Software Safety Requirements
  - Static and dynamic interfaces
  - Safety-related and non safety related requirements
- Verify the Software Architecture
  - Compliance with the requirements
  - Compatibility with hardware
  - Respect of design principles and standards



Source: ISO 26262-6:2011

## Software Architectural Design

### Principles for software architectural design

Methods		ASIL			
		A	B	C	D
1a	Hierarchical structure of software components	++	++	++	++
1b	Restricted size of software components	++	++	++	++
1c	Restricted size of interfaces	+	+	+	+
1d	High cohesion within each software component	+	++	++	++
1e	Restricted coupling between software components	+	++	++	++
1f	Appropriate scheduling properties	++	++	++	++
1g	Restricted use of interrupts	+	+	+	++

Source: ISO 26262-6:2011



Based on the results of the safety analysis the mechanisms for error detection and error handling shall be applied

Methods		ASIL				Methods		ASIL			
		A	B	C	D			A	B	C	D
1a	Range checks of input and output data	++	++	++	++	1a	Static recovery mechanism	+	+	+	+
1b	Plausibility check	+	+	+	++	1b	Graceful degradation	+	+	++	++
1c	Detection of data errors	+	+	+	+	1c	Independent parallel redundancy	o	o	+	++
1d	External monitoring facility	o	+	+	++	1d	Correcting codes for data	+	+	+	+
1e	Control flow monitoring	o	+	++	++						
1f	Diverse software design	o	o	+	++						

Error handling

Error detection

Source: ISO 26262-6:2011

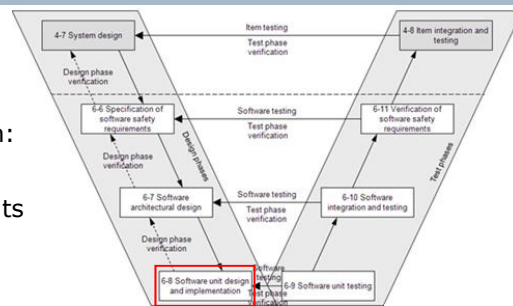
Methods for the verification of the software architectural design

Methods		ASIL			
		A	B	C	D
1a	Walk-through of the design	++	+	o	o
1b	Inspection of the design	+	++	++	++
1c	Simulation of dynamic parts of the design	+	+	+	++
1d	Prototype generation	o	o	+	++
1e	Formal verification	o	o	+	+
1f	Control flow analysis	+	+	++	++
1g	Data flow analysis	+	+	++	++

Source: ISO 26262-6:2011

## Goals

- Specify SW Units based on:
  - SW Architecture
  - SW Safety Requirements
- Implement the SW Units
- Verify SW Units
  - Code reviews / inspections



Source: ISO 26262-6:2011

Design principles for software unit design and implementation

Methods		ASIL			
		A	B	C	D
1a	One entry and one exit point in subprograms and functions	++	++	++	++
1b	No dynamic objects or variables, or else online test during their creation	+	++	++	++
1c	Initialization of variables	++	++	++	++
1d	No multiple use of variable names	+	++	++	++
1e	Avoid global variables or else justify their usage	+	+	++	++
1f	Limited use of pointers	o	+	+	++
1g	No implicit type conversions	+	++	++	++
1h	No hidden data flow or control flow	+	++	++	++
1i	No unconditional jumps	++	++	++	++
1j	No recursions	+	+	++	++

Source: ISO 26262-6:2011

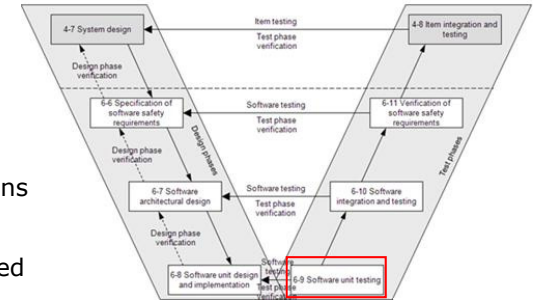
## Example: MISRA C

- Programming standard developed by Motor Industry Software Reliability Association
- Avoidance of runtime errors due to unsafe C constructs
- The respect of MISRA C shall be demonstrated → static code analysis

Infos: [www.misra.org](http://www.misra.org)

## Goals

- Demonstrate that the software units fulfil the Software Unit Specifications
- Verify absence of undesired functionalities



Source: ISO 26262-6:2011

The software unit testing methods shall be applied to demonstrate that the software units achieve:

- Compliance with the software unit design specification
- Compliance with the specification of the hardware-software interface
- Correct implementation of the functionality
- Absence of unintended functionality
- Robustness
- Sufficiency of the resources to support the functionality

Methods		ASIL			
		A	B	C	D
1a	Requirements-based test	++	++	++	++
1b	Interface test	++	++	++	++
1c	Fault injection test	+	+	+	++
1d	Resource usage test	+	+	+	++
1e	Back-to-back comparison test between model and code, if applicable	+	+	++	++

Source: ISO 26262-6:2011

## Methods for deriving test cases for software unit testing

Methods		ASIL			
		A	B	C	D
1a	Analysis of requirements	++	++	++	++
1b	Generation and analysis of equivalence classes	+	++	++	++
1c	Analysis of boundary values	+	++	++	++
1d	Error guessing	+	+	+	+

Source: ISO 26262-6:2011

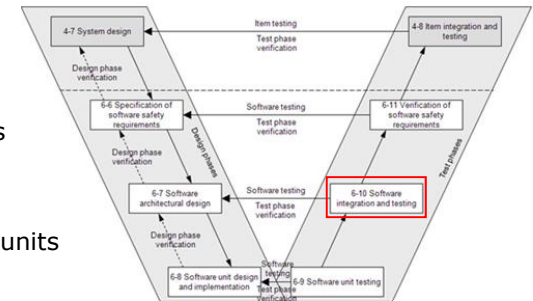
## Structural coverage metrics at the software unit level

Methods		ASIL			
		A	B	C	D
1a	Statement coverage	++	++	+	+
1b	Branch coverage	+	++	++	++
1c	MC/DC (Modified Condition/Decision Coverage)	+	+	+	++

Source: ISO 26262-6:2011

## Goals

- Integrate SW components
  - Integration sequence
  - Testing of interfaces between components/units
- Verify correct implementation of the SW Architecture



Source: ISO 26262-6:2011

The software integration test methods shall be applied to demonstrate that both the software components and the embedded software achieve:

- Compliance with the software architectural design
- Compliance with the specification of the hardware-software interface
- Correct implementation of the functionality
- Robustness and sufficiency of the resources to support the functionality

Methods		ASIL			
		A	B	C	D
1a	Requirements-based test	++	++	++	++
1b	Interface test	++	++	++	++
1c	Fault injection test	+	+	++	++
1d	Resource usage test	+	+	+	++
1e	Back-to-back comparison test between model and code, if applicable	+	+	++	++

Source: ISO 26262-6:2011

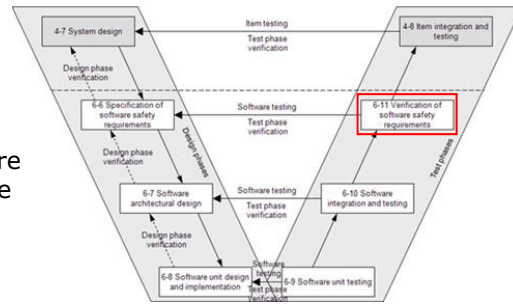
## Structural coverage metrics at the software architectural level

Methods		ASIL			
		A	B	C	D
1a	Function coverage	+	+	++	++
1b	Call coverage	+	+	++	++

Source: ISO 26262-6:2011

## Goals

- Verify that the embedded software fulfils the Software Safety Requirements in the target environment



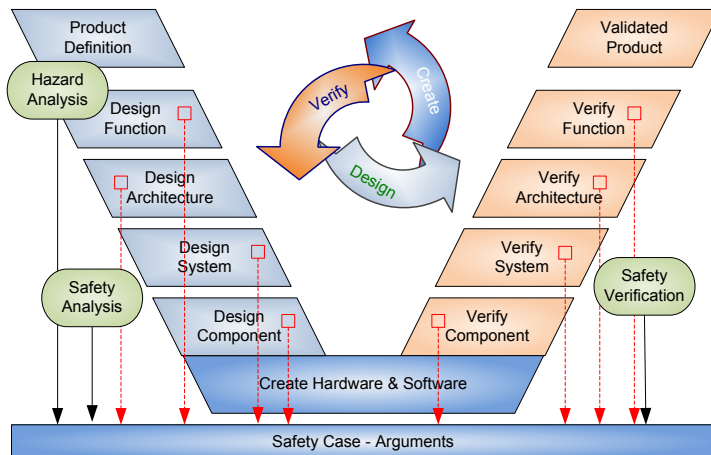
Source: ISO 26262-6:2011

- Verify that the embedded software fulfils the software safety requirements
- Verification of the software safety requirements shall be executed on the target hardware
- The results of the verification of the software safety requirements shall be evaluated in accordance with:
  - Compliance with the expected results
  - Coverage of the software safety requirements
  - A pass or fail criteria

Methods		ASIL			
		A	B	C	D
1a	Hardware-in-the-loop	+	+	++	++
1b	Electronic control unit network environments	++	++	++	++
1c	Vehicles	++	++	++	++

Source: ISO 26262-6:2011

## What shall be provided to support the Safety Case?



- Who is Method Park?
- Why do we need Safety Standards?
- Process and Safety demands in Automotive
- Hazard Analysis and Risk Assessment
- Functional and Technical Development
- Software Process in detail
- Tool Qualification**
- Summary

To determine the required level of confidence in a software tool, perform a use case analysis:

- Evaluate if a malfunctioning software tool and its erroneous output can lead to the violation of any safety requirement allocated to the safety-related item or element to be developed
- Establish probability of preventing or detecting such errors in its output
  - Considers measures internal to the software tool (e.g. monitoring)
  - Measures external to the software tool implemented in the development process for the safety-related item or element (e.g. guidelines, tests, reviews)

### Tool Impact (TI)

Possibility that a safety requirement, allocated to the safety-related item or element, is violated if the software tool is malfunctioning or producing erroneous output

TI1 – no such possibility

TI2 – all other cases

### Tool error Detection (TD)

Probability of preventing or detecting that the software tool is malfunctioning or producing erroneous output

TD1 – high degree of confidence for prevention or detection

TD2 – medium degree of confidence for prevention or detection

TD3 – all other cases

### Tool Confidence Level (TCL)

Based on the values determined for the classes of TI and TD

	TD1	TD2	TD3
TI1	TCL1	TCL1	TCL1
TI2	TCL1	TCL2	TCL3

Source: ISO 26262-8:2011

Qualification methods:

Qualification methods of software tools classified TCL3		ASIL			
		A	B	C	D
1a	Increased confidence from use	++	++	+	+
1b	Evaluation of the tool development process	++	++	+	+
1c	Validation of the software tool	+	+	++	++
1d	Development in accordance with a safety standard	+	+	++	++

Qualification methods of software tools classified TCL2		ASIL			
		A	B	C	D
1a	Increased confidence from use	++	++	++	+
1b	Evaluation of the tool development process	++	++	++	+
1c	Validation of the software tool	+	+	+	++
1d	Development in accordance with a safety standard	+	+	+	++

Source: ISO 26262-8:2011  
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- Who is Method Park?
- Why do we need Safety Standards?
- Process and Safety demands in Automotive
- Hazard Analysis and Risk Assessment
- Functional and Technical Development
- Software Process in detail
- Tool Qualification
- **Summary**



- Today's electronic systems are too complex to understand all potential hazards
- An approach for Functional Safety is needed to avoid severe injuries and damages in human lives and property
- A standardized way to show that your product is safe is needed – best practice yet not fully established – guidance needed



Thank you !

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