Concurrent Systems
*Nebenläufige Systeme*

I. Introduction

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October 14, 2015

Agenda

Preface

Contents

Organisation

Summary

Outline

Preface

Contents

Organisation

Summary

Abstract Concept

- meaning of the lecture labelling in linguistic terms [3]:
  - **con-cur-rent** (lat.) *concurrens*: preposition of *concurrere*
    1. occurring at the same time; existing together
    2. meeting in or going toward the same point; converging
    3. acting together; cooperating
    4. in agreement; harmonious
    5. exercised equally over the same area
  - **sys-tems** plural of (gr.) *systēmas*: to place together
    1. a set of arrangements of things so related or connected as to form a
       unity or organic whole
    2. a set of facts, principles, rules, etc. classified or arranged in a regularly,
       orderly form so as to show a logical plan linking the various parts
    3. a method or plan of classification or arrangement
       ...
- in terms of computer science: a system of several computations who
  are executing simultaneously, potentially interacting with each other
Concurrency as a System Property

- simultaneous execution of potentially interacting computations
  - with the latter being logical (cooperating) or contending (incidental)
- concurrency in the program flow is due to:
  - multiplication of processing units, but also
    - real parallelism
    - instruction set architecture level
    - partitioning in space
  - multiplexing (partial virtualisation [2])
    - pseudo-parallelism
    - operating-system machine level
    - partitioning in time
- functionally equal, but non-functionally unequal, characteristics
  - however, each of the two "concurrency dimensions" originates in different
    functions to coordinate/synchronise concurrent processes
- focus is on parallel processing of the same non-sequential program

Parallel Processing

- master/slave
- asymmetric
- clustered & symmetric
Parallel Processing

Multiplication of Processing Units

- parallel-computer engineering is pervasive
- multi-core conventional characteristic
- uni-core rather unconventional, but rife
- by the way: multi-core ⊂ many-core
  - multi little tens (“handful”) of cores
  - many several tens of cores and more
    - hundreds or even thousands
- exposure to parallelism is indispensable \[4\]
  - mandatory at least for operating systems
  - 28 cores, uniformly distributed across four tiles
- many-core processors make core multiplexing almost superfluous
  - unless latency hiding becomes an issue within a parallel process

Parallel Processor: CPU

AMD, Intel, Tilera

2 cores 4 cores 8 cores 16 cores

Parallel Processor: GPU

NVIDIA

512 cores 1536 cores
Parallel System: HPC

3 120,000 cores

Characteristics of Parallel Systems

- **nature** of the overall processor architecture
  - **homogeneous** in functional terms: instruction set architecture (ISA)
  - but also non-functional: latency, clock speed, energy use
  - **heterogeneous** different in at least one of those aspects

- **address-space organisation**
  - **shared** globally direct memory access: load/store operations
    - maybe partitioned global address space (PGAS)
  - **distributed** globally indirect memory access: message passing

- **cache coherency**: memory property
  - **coherent** any read evaluates to the last write to the same address
    - temporary (memory/cache) inconsistencies are tolerated
  - **non-coherent** else

- **memory (also: cache) consistency**: memory state
  - **strict** all accesses are seen in order in which they were issued
  - **otherwise** loosened models, differentiate between read and write

Outline

Preface

Contents

Organisation

Summary

Fundamentals

Introduction:

1. overview, organisation—today’s lecture...

General topics and basic principles:

2. notion of “concurrency” against the background of resource sharing
   - causality (“cause and effect”), synchronisation, indivisibility

3. notion of “process” and difference to “program”
   - sequential, non-sequential, concurrent, interacting

4. critical (program) sections and their typical patterns
   - race conditions/hazards: lost update, lost wakeup

5. elementary operations and other hardware aspects
   - TAS, CAS, and LL/SC versus caches, coherence, and interference
Synchronisation: Blocking

Pessimistic methods

Classic and folklore:
6. lock algorithms
   - contention, backoff, ticket, interference
7. semaphore
   - binary (vs. "mutex"), general/counting, bolt, set
8. monitor and condition variable
   - signalling semantics: Hansen, Hoare, Mesa, Java
9. deadlock and livelock
   - prevention, avoidance and detection & resolution

Synchronisation: Non-Blocking

Optimistic methods

Avant-garde and other:
10. algorithms based on indivisible memory-write instructions
    - assuming vertical (stack-like) overlapping
    - interrupt-transparent synchronisation
11. algorithms based on dedicated machine instructions
    - assuming horizontal (congeneric) overlapping
    - compare and swap (CAS), load linked (LL) and store conditional (SC)
12. transactional memory
    - AMD's advanced synchronisation facility (ASF)
    - Intel's transactional synchronisation extensions (TSX)
13. progress guarantees
    - obstruction-, lock- and wait-free behaviour
    - constructive (favoured) and analytical (neglected) approaches

Pickings

State of the art and recapitulation:
14. right from the rummage table...
    - software combining, procedure chaining, combining funnels
    - read-copy update, remote-core locking
15. wrap-up and words in a personal matter
    - retrospection and lessons learned
    - research projects on these topics at the chair
    - perspectives for advanced training: bachelor, master, doctoral thesis

Hint (Lecture)

Main objective is to impart knowledge on concurrent systems from the system programming point of view. Wide emphasis is on the internals of synchronisation concepts and primitives as well as the implications of the respective implementations. Application of these methods for parallel programming takes a back seat.
Language of Instruction

(Ger.) Unterrichtssprache

- depends on the German linguistic abilities of the participants
  - German: if all attendees do agree on a German-speaking class
    - will be asked for at the beginning of each lesson
  - English: if at least one attendee does not agree on German
    - in case of doubt or missing answer, German is fallback position

- written material (slides or handouts, resp.) will be English
  - with technical terms also stated in German, where applicable

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Study abroad also means living abroad—and to take part and share in Franconian social life. The latter soft skills cannot be overestimated.

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Lecture

Meaningful Learning

- acquire new knowledge
- prepare next reading on one's own initiative
- attend presentation, listen, and discuss topics treated
- reinforce learning matter, reflect

- relate it with previous knowledges
  - parallel programming (PFP)
  - computer architecture (GRA)
  - system programming (SP, SPIc, GSPiC)
  - operating systems (BS), operating-systems engineering (BST)
  - real-time systems (EZS)

- teaching material presented in the lecture room:
  - follow "Lehre" (Eng. teaching) at https://www4.cs.fau.de
  - copies of the slides are made available as handouts free of charge
  - supplemented by secondary literature as and when required
    - see the bibliography at the bottom of each handout

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Exercise

Experimental Learning

- deepen knowledge by means of direct experience

Acquisition of virtuous behaviour and operational ability is less a matter of easy instruction but rather functional copy, practise, and use. (Aristotle [1])

- discussion of assignments, outline of approaches
- consolidation of the lecture, clarification of open questions

- blackboard practice under guidance of an exercise instructor
  - registration through Waffel² (URL see CS web page)
  - assignments are to be processed in teamwork: discretionary clause
    - depending on the number of participants

- computer work under individual responsibility
  - registration is not scheduled, reserved workplaces are available
  - in case of questions, a CS exercise instructor is available

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Requirements

- hard skills (computer-science expertise)
  - mandatory
    - structured computer organisation
    - algorithm design and development
    - principles of programming in C or C++
    - knowledge gaps will not be closed actively: no extra tuition
  - optional
    - assembly language (absolute) programming
    - system programming
    - operating systems
    - as appropriate, knowledge gaps will be closed on demand by the instructors

- soft (personal, social, methodical) skills
  - staying power, capacity of teamwork, structured problem solving

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² abbr. for (Ger.) Webanmeldefrickelformular Enterprise Logic
Major Course Assessment

- achievable credit points
  - 5 ECTS (*European Credit Transfer System*)
  - corresponding to a face time of 4 contact hours per week
    - lecture and practice, with 2 SWS \(^3\) (i.e., 2.5 ECTS) each
- German or English (cf. p. 21) **oral examination**
  - date by arrangement: send e-mail to wosch@cs.fau.de
  - propose desired date within the official audit period
    - the exception (from this very period) proves the rule...
- examination subjects
  - topics of lecture, blackboard practice, but also computer work
  - brought up in the manner of an “expert talk”
    - major goal is to find out the degree of understanding of inter-relations
- registration through “mein campus”: https://www.campus.fau.de

\(^3\)abbr. for (Ger.) *Semesterwochenstunden*

Subject Matter

- coordination of cooperation and concurrency
  - between interacting (i.e., control- or data-flow dependent) processes
  - with emphasis on explicit synchronisation
- against the background of two dimensions of concurrency
  - **vertical**
    - overlapped execution at operating-system machine level
    - process preemption (partial virtualisation)
  - **horizontal**
    - overlapped execution at instruction set architecture level
    - processor (core) multiplication
- in-depth study of approaches suitable (not only) for operating systems
  - advanced studies to the range of topics on system programming
  - basic studies to concurrent (i.e., non sequential) programming
- fundamental understanding of different synchronisation paradigms
  - blocking versus non-blocking synchronisation
  - where is what paradigm mandatory, optional, beneficial, or adversely...

Outline

Preface

Contents

Organisation

Summary

Reference List

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