

Concurrent Systems

Nebenläufige Systeme

I. Introduction

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- meaning of the lecture labelling in linguistic terms [3]:
con·cur·rent (lat.) *concurrens*: preposition of *concurrere*

1. occurring at the same time; existing together
2. meeting in or going toward the same point; converging
3. acting together; cooperating
4. in agreement; harmonious
5. exercised equally over the same area

sys·tems plural of (gr.) *systemas*: to place together

1. a set of arrangements of things so related or connected as to form a unity or organic whole
2. a set of facts, principles, rules, etc. classified or arranged in a regularly, orderly form so as to show a logical plan linking the various parts
3. a method or plan of classification or arrangement
- ⋮

- in terms of computer science: a system of several computations who are executing simultaneously, potentially interacting with each other



Concurrency as a System Property

- **simultaneous execution** of potentially interacting computations
 - with the latter being logical (cooperating) or contending (incidental)
- concurrence in the program flow is due to:

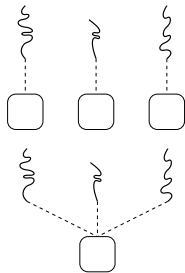
multiplication of processing units, but also

- real parallelism
- instruction set architecture level
- partitioning in space

multiplexing (partial virtualisation [2])

- pseudo-parallelism
- operating-system machine level
- partitioning in time

- functionally equal, but non-functionally unequal, characteristics
 - however, each of the two “concurrency dimensions” originates in different functions to coordinate/synchronise concurrent processes
- focus is on **parallel processing** of the same **non-sequential program**



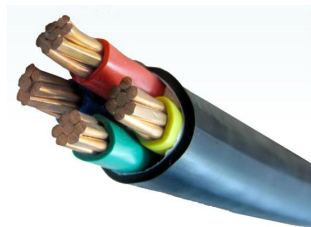








- parallel-computer engineering is pervasive
 - multi-core** ■ conventional characteristic
 - uni-core** ■ rather unconventional, but rife
- by the way: multi-core \subset many-core
 - multi** ■ little tens (“handful”) of cores
 - many** ■ several tens of cores and more
 - hundreds or even thousands
- exposure to parallelism is indispensable [4]
 - mandatory at least for operating systems
- many-core processors make **core multiplexing** almost superfluous
 - unless **latency hiding** becomes an issue within a parallel process



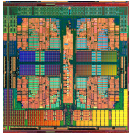
28 **cores**, uniformly distributed across four **tiles** 😊



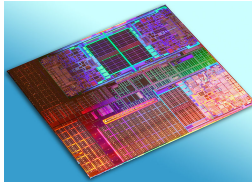
2 cores



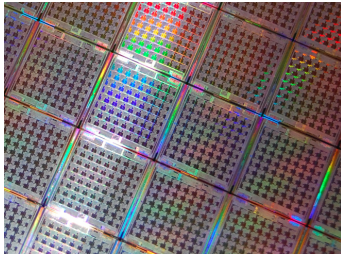
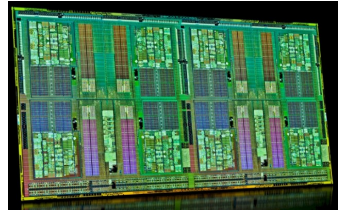
4 cores



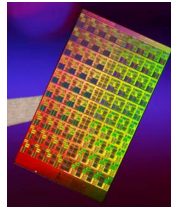
8 cores



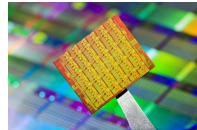
16 cores



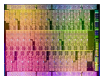
100 cores



80 cores

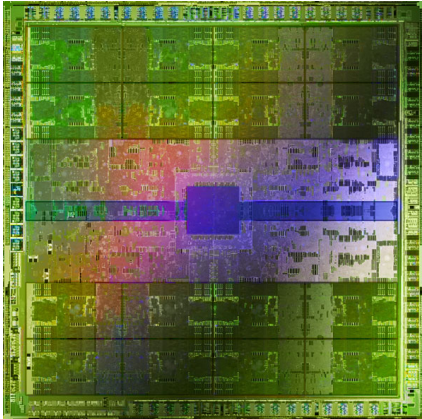


48 cores

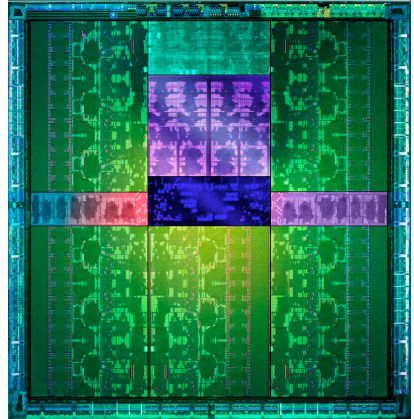


32 cores

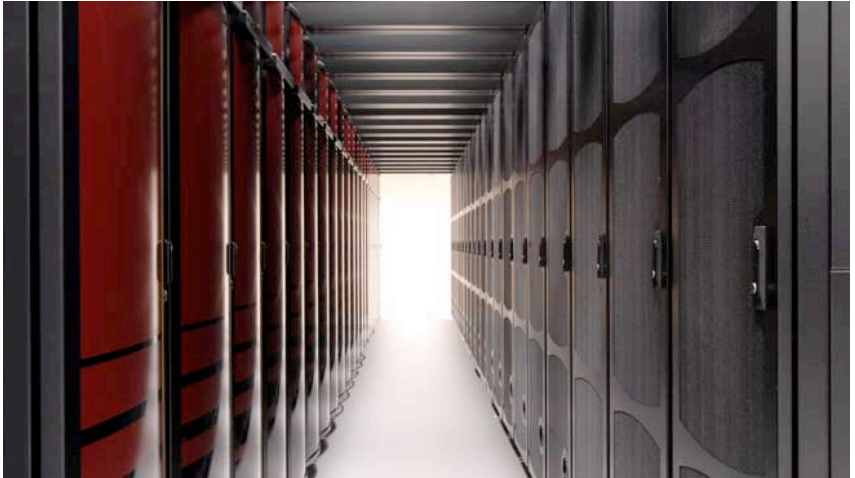




512 cores



1536 cores



3 120 000 cores



- **nature** of the overall processor architecture
 - homogeneous
 - in functional terms: instruction set architecture (ISA)
 - but also non-functional: latency, clock speed, energy use
 - heterogeneous
 - different in at least one of those aspects
- address-space **organisation**
 - shared
 - globally direct memory access: load/store operations
 - maybe partitioned global address space (PGAS)
 - distributed
 - globally indirect memory access: message passing
- cache **coherency**: memory *property*
 - coherent
 - any read evaluates to the last write to the same address
 - temporary (memory/cache) inconsistencies are tolerated
 - non-coherent
 - else
- memory (also: cache) **consistency**: memory *state*
 - strict
 - all accesses are seen in order in which they were issued
 - otherwise
 - loosened models, differentiate between read and write
 - sequential, processor, weak, entry, or release consistency



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Introduction:

1. overview, organisation—today's lecture. . .

General topics and basic principles:

2. notion of “concurrency” against the background of resource sharing
 - causality (“cause and effect”), synchronisation, indivisibility
3. notion of “process” and difference to “program”
 - sequential, non-sequential, concurrent, interacting
4. critical (program) sections and their typical patterns
 - race conditions/hazards: lost update, lost wakeup
5. elementary operations and other hardware aspects
 - TAS, CAS, and LL/SC versus caches, coherence, and interference



Classic and folklore:

6. lock algorithms
 - contention, backoff, ticket, interference
7. semaphore
 - binary (vs. “mutex”), general/counting, bolt, set
8. monitor and condition variable
 - signalling semantics: Hansen, Hoare, Mesa, Java
9. deadlock and livelock
 - prevention, avoidance and detection & resolution



Avant-garde and other:

10. algorithms based on indivisible memory-write instructions
 - assuming vertical (stack-like) overlapping
 - interrupt-transparent synchronisation
11. algorithms based on dedicated machine instructions
 - assuming horizontal (congeneric) overlapping
 - compare and swap (CAS), load linked (LL) and store conditional (SC)
12. transactional memory
 - AMD's advanced synchronisation facility (ASF)
 - Intel's transactional synchronisation extensions (TSX)
13. progress guarantees
 - obstruction-, lock- and wait-free behaviour
 - constructive (favoured) and analytical (neglected) approaches



State of the art and recapitulation:

14. right from the rummage table...
 - software combining, procedure chaining, combining funnels
 - read-copy update, remote-core locking
15. wrap-up and words in a personal matter
 - retrospection and lessons learned
 - research projects on these topics at the chair
 - perspectives for advanced training: bachelor, master, doctoral thesis

Hint (Lecture)

*Main objective is to impart knowledge on concurrent systems from the **system programming point of view**. Wide emphasis is on the internals of synchronisation concepts and primitives as well as the implications of the respective implementations. Application of these methods for parallel programming takes a back seat.*



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- depends on the German linguistic abilities of the participants
 - German ■ if all attendees do agree on a German-speaking class
 - will be asked for at the beginning of each lesson
 - English ■ if at least one attendee does not agree on German
- in case of doubt or missing answer, German is fallback position¹
- written material (slides or handouts, resp.) will be English
 - with technical terms also stated in German, where applicable

¹Studying abroad also means *living* abroad—and to take part and share in Franconian social life. The latter *soft skills* cannot be overestimated.

- acquire new knowledge
 - prepare next reading on ones own initiative
 - attend presentation, listen, and discuss topics treated
 - reinforce learning matter, reflect
- relate it with previous knowledges
 - parallel programming (PFP) 12
 - computer architecture (GRA) 13
 - system programming (SP, SPiC, GSPiC) 14
 - operating systems (BS), operating-systems engineering (BST) 14
 - real-time systems (EVS) 14
- teaching material presented in the **lecture room**:
 - follow “Lehre” (Eng. *teaching*) at <https://www4.cs.fau.de>
 - copies of the slides are made available as handouts free of charge
 - supplemented by secondary literature as and when required
 - see the bibliography at the bottom of each handout



- deepen knowledge by means of direct experience

Acquisition of virtuous behaviour and operational ability is less a matter of easy instruction but rather functional copy, practise, and use. (Aristotle [1])

- discussion of assignments, outline of approaches
- consolidation of the lecture, clarification of open questions
- **blackboard practice** under guidance of an exercise instructor
 - registration through [WAFFEL](#)² (URL see CS web page)
 - assignments are to be processed in teamwork: discretionary clause
 - depending on the number of participants
- **computer work** under individual responsibility
 - registration is not scheduled, reserved workplaces are available
 - in case of questions, a CS exercise instructor is available

²abbr. for (Ger.) *Webanmeldefrickelformular Enterprise Logic*



■ **hard skills** (computer-science expertise)

■ mandatory

- structured computer organisation
- algorithm design and development
- principles of programming in C or C++

↪ knowledge gaps will not be closed actively: no extra tuition

■ optional

- assembly language (absolute) programming
- system programming
- operating systems

↪ as appropriate, knowledge gaps will be closed on demand by the instructors

■ **soft** (personal, social, methodical) **skills**

- staying power, capacity of teamwork, structured problem solving



- achievable credit points
 - 5 ECTS (*European Credit Transfer System*)
 - corresponding to a face time of 4 contact hours per week
 - lecture and practice, with 2 SWS³ (i.e., 2.5 ECTS) each
- German or English (cf. p. 21) **oral examination**
 - date by arrangement: send e-mail to wosch@cs.fau.de
 - propose desired date within the official audit period
 - the exception (from this very period) proves the rule. . .
- examination subjects
 - topics of lecture, blackboard practice, but also computer work
 - brought up in the manner of an “expert talk”
 - major goal is to find out the degree of understanding of inter-relations
- registration through “mein campus”: <https://www.campus.fau.de>

³abbr. for (Ger.) *Semesterwochenstunden*



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- coordination of cooperation and concurrency
 - between interacting (i.e., control- or data-flow dependent) processes
 - with emphasis on explicit synchronisation
- against the background of two dimensions of concurrency
 - vertical
 - overlapped execution at operating-system machine level
 - process preemption (partial virtualisation)
 - horizontal
 - overlapped execution at instruction set architecture level
 - processor (core) multiplication
- in-depth study of approaches suitable (not only) for operating systems
 - advanced studies to the range of topics on system programming
 - basic studies to concurrent (i.e., non sequential) programming
- fundamental understanding of different synchronisation paradigms
 - blocking versus non-blocking synchronisation
 - where is what paradigm mandatory, optional, beneficial, or adversely. . .



Reference List

- [1] ARISTOTLE:
Nicomachean Ethics.
c. 334 BC
- [2] CORBATÓ, F. J. ; MERWIN-DAGGETT, M. ; DALEY, R. C.:
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In: *Proceedings of the AIEE-IRE'62 Spring Joint Computer Conference*, ACM, 1962,
S. 335–344
- [3] NEUFELDT, V. (Hrsg.) ; GURALNIK, D. A. (Hrsg.):
Webster's New World Dictionary.
Simon & Schuster, Inc., 1988
- [4] SUTTER, H. :
The Free Lunch is Over: A Fundamental Turn Toward Concurrency in Software.
In: *Dr. Dobbs's Journal* 30 (2005), Nr. 3, S. 202–210

