

Concurrent Systems

Nebenläufige Systeme

V. Elementary Operations

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Outline

Preface

Primitive Instructions
Atomic Operations

Memory Models
Properties

Summary



Agenda

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Primitive Instructions
Atomic Operations

Memory Models
Properties

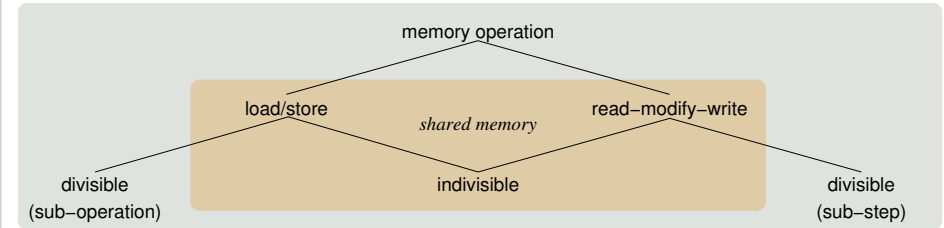
Summary



Subject Matter

- discussion on **abstract concepts** as to elementary operations at instruction structure set architecture level
 - atomic load/store of a naturally aligned machine word
 - atomic read-modify-write of complex machine instructions
- impartation of knowledge on memory models that are relevant to multi-threading on multi-/many-core (multi-) processors
 - atomicity, visibility, and ordering of memory operations against the background of UMA, NUMA, and (partly) COMA architectures
 - ordering enforcing hardware such as memory barriers or fences, resp., allowing one to pattern sequential, relaxed, and weak **data consistency**
- excursion into practice of **hardware features** that are of importance for the implementation of any synchronisation algorithm





- of particular interest (at this point) are **shared-memory operations**
 - commonality is the opportunity, at least, for **indivisible execution**
- note, all memory operations are also divisible in the following respect:
 - sub-operation**
 - processors are word-oriented, but memory is byte-oriented
 - with *word size* as a multiple of *byte size*, e.g. 4×8 bits
 - thus, loads/stores will operate on a **sequence of bytes**
 - sub-step**
 - processors perform a *fetch-execute-cycle* to run programs
 - n -address machines mean n -operand instructions, $n \geq 2$ ¹
 - thus, execution requires a **sequence of loads/stores**

¹In general $n \geq 0$, but only for $n \geq 2$ becomes the problem apparent.



Load/Store I

C—Level 5

```

1 #include <stdint.h>
2
3 static int64_t label;
4
5 int64_t get_label() {
6     return label;
7 }
8
9 void set_label(int64_t value) {
10     label = value;
11 }
    
```

**Beware of
devirtualisation!**

- in logical respect any of these single statements is indivisible, atomic
 - lines 6 conceals a load and line 10 conceals a store operation
 - each case forms an ELOP of the **abstract processor “C”**
- in physical respect these statements are **conditionally atomic**, only
 - a matter of optimisation options, the CPU, and alignment restrictions



Load/Store II

ASM—Level 4

gcc -m32...

```

1 get_label:
2     movl label, %eax
3     movl label+4, %edx
4     ret
5
6 set_label:
7     movl 4(%esp), %eax
8     movl 8(%esp), %ecx
9     movl %ecx, label+4
10    movl %eax, label
11    ret
    
```

gcc -m64...

```

12 get_label:
13     movq label(%rip), %rax
14     ret
15
16 set_label:
17     movq %rdi, label(%rip)
18     ret
    
```

- actions 2-3 and 9-10 are divisible
- any of these 8 mov instructions is **conditionally indivisible**

- beware of the processor architecture or the data alignment, resp.
 - usually, memory-word loads/stores are indivisible if “word” corresponds to the smallest addressable unit of main memory: **byte**, nowadays
 - on some architectures (e.g., x86) they are indivisible too if the address of the memory operand is **naturally aligned**



- **execution cycle** of a machine instruction that involves the ALU²
 - consists of the following individual operation steps:
 - i load input operands (acc. operation code or addressing mode, resp.)
 - ii compute result (acc. operation code)
 - iii store output operand (acc. operation code or addressing mode, resp.)
 - steps (i) and (iii) require the **bus** in case of memory-sensitive operations
 - reusable hardware resource, shareable, allocated per (load/store) step
 - typical **compound action** at instruction set architecture (ISA) level
 - is memory-sensitive only for a *complex instruction set computer* (CISC)
- in a **multiprocessor case**, the whole cycle is divisible (non-atomic)
 - merely the individual sub-steps may form indivisible actions (cf. p. 8)
 - while the loads/stores may be in sync, the compound action is not
- indivisibility requires a **bus lock** for the duration of the whole cycle:
 - i an **atomic RMW instruction** that implicitly performs the lock or
 - ii a **lock prefix** that makes the adjacent normal RMW instruction atomic

²arithmetic-logic unit, the operation unit of the CPU.

Definition (TS, acc. IBM System/370)

The leftmost bit (bit position 0) of the byte located at the second-operand address is used to set the condition code, and then the entire addressed byte is set to all ones. [8, p. 144]

- the operation effectly does an **unconditional store** in main memory
 - *The byte in storage is set to all ones as it is fetched for the testing of bit position 0. [8, p. 144]*³
 - in terms of **main memory significance**, this translates into the following:
 - with effective address *ref* being the second-operand address $D_2(B_2)$
- ```

1 bool tas(byte *ref) {
2 atomic { bool cc = *ref & 0x1; *ref = 0x11111111; }
3 return cc;
4 }

```
- note that TS interlocks against simultaneous main memory accesses

<sup>3</sup>A similar effect has `ldstub` of SPARC V9.

## Test &amp; Set II

- the original copy (IBM System/370) has **swapping characteristic**
    - $swap(x, y)$ , with  $x = *ref_{[0]}$  and  $y = 1111111_{[2]}$
    - for a contemporary processor (x86), this translates into the following:
 

```

1 bool tas(any_t *ref) { 4 tas:
2 return TAS(ref); 5 movl 4(%esp), %ecx
3 } 6 movl $1, %eax
 7 xchgl %eax, (%ecx)
 8 ret

```
    - whereby (using GCC atomic built-in functions):
 

```

9 #define TAS(ref) __sync_lock_test_and_set(ref, 1)

```
  - note that `xchg` interlocks against simultaneous main memory accesses
  - beware of the unconditional store carried out by both TS and `xchg`<sup>4</sup>
    - this semantic has a **deleterious effect** for cache-coherent processors
    - the cache line holding the main memory operand is always invalidated
- dedicated hardware implementation (p. 35) or mapping to CAS (p. 12)

<sup>4</sup>Same holds for TAS of the M68000 family and `ldstub` of the SPARC family.

## Compare &amp; Swap I

## Definition (CS, acc. IBM System/370)

*The first and second operands are compared. If they are equal, the third operand is stored in the second-operand location. If they are unequal, the second operand is loaded into the first-operand location. [8, p. 123]*

- the operation effectly performs a **conditional store** in main memory
  - *The first and third operands [each are] occupying a general register. The second operand is a word in main storage. [8, p. 123]*
  - in terms of **main memory significance**, this translates into the following:
 

```

1 atomic bool cas(register old, word *ref, register new) {
2 bool cc;
3 return cc = (*ref == old) ? (*ref = new, 0) : (old = *ref, 1);
4 }

```
- note that CS interlocks against simultaneous main memory accesses

- “textbook semantics” of TAS has a **deleterious effect** for the cache:

```
1 bool tas(word *ref) {
2 atomic { word aux = *ref; *ref = 1; }
3 return aux;
4 }
```

- same is true when using the GCC atomic built-in function (x86, cf. p 11):

```
5 #define TAS(ref) __sync_lock_test_and_set(ref, 1)
```

- use of CAS, with #define CAS \_\_sync\_bool\_compare\_and\_swap

|                                                                     |                                                                                                                                                                                                                    |
|---------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <pre>1 bool tas(long *ref) { 2     return CAS(ref, 0, 1); 3 }</pre> | <pre>4 tas: 5     xorl    %eax, %eax 6     movl    \$1, %ecx 7     movl    4(%esp), %edx 8     lock 9     cmpxchgl %ecx, (%edx) 10    testl   %eax, %eax 11    sete    %al 12    movzbl  %al, %eax 13    ret</pre> |
|---------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

- worst-case overhead of five instructions (cf. p 11)

- pays off, depending on processor and cache architecture



## Definition (ABA, also A-B-A)

The ABA problem is a **false positive** execution of a CAS-based speculation on a shared location  $L_i$ . [2, p. 186]

- when the successful execution of a CAS instruction indicates:
  - i that the two operands subject to comparison are equal and, thus, purport the presence of a certain global condition (*positive*),
  - ii but this global condition is not in fact present (*false*)
- assuming that processes  $P_1$  and  $P_2$  simultaneously access location  $L_i$ 
  - value  $A$  read by  $P_1$  from  $L_i$  be a sign of a dedicated global state  $S_1$ , but  $P_1$  will be delayed before being able to commit a new value to  $L_i$
  - meanwhile  $P_2$  changes the value of  $L_i$  to  $B$  and then back to  $A$ , defining a new global state  $S_2 \neq S_1$
  - $P_1$  resumes, observes that the value of  $L_i$  equals  $A$  and, thus, acts on the assumption that the global state must be  $S_1$ —which is no longer true
- severity of false positive execution depends on the problem (cf. p. 36)



## Load-Linked/Store-Conditional I

LL/SC

### Definition

Paired instructions to form a flow of actions without any guarantee of indivisibility but that it succeeds only in case of indivisible operation.

- originated in the MIPS II or R6000, resp., RISC architecture [9]:

**LL** ■ loads a word from the specified effective memory address

- makes a **reservation** on that very address (range)<sup>5</sup>

**SC** ■ checks for a reservation on the specified effective memory address<sup>5</sup>

- if the reservation persists, stores the specified word at that address
- delivers the result of the reservation check

- reasons for **cancellation** of a persisting address (range) reservation:

- i successful execution of SC—hoped for, normally
- ii execution of LL by another processor applying the same address (range)
- iii an exception (trap/interrupt) on the processor holding the reservation

- LL and SC interlock against simultaneous main memory accesses

<sup>5</sup>The dimension of the reservation depends on the hardware implementation. It may be exact the effective address or a larger address range around.



## Load-Linked/Store-Conditional II

lazy method

- use of LL/SC to recreate TAS and CAS:
  - in case of TAS, a boolean variable is conditionally set true

```
1 bool tas(long *ref) {
2 return (LL(ref) == 0) && SC(ref, 1);
3 }
```

- in case of CAS, a memory word is conditionally overwritten

```
4 bool cas(long *ref, long old, long new) {
5 return (LL(ref) == old) && SC(ref, new);
6 }
```

- note that this implementation of CAS is free from the ABA problem:

$P_1$  ■ shares location  $ref$  with  $P_2$ , established reservation  $ref_{P_1}$  by LL

- gets delayed for some reason, thus has not yet executed SC

$P_2$  ■ overlaps  $P_1$ , establishes reservation  $ref_{P_2}$  and, thus, cancels  $ref_{P_1}$

- successfully executes SC  $\Rightarrow$  CAS succeeds

$P_1$  ■ resumes  $\Rightarrow$  SC will fail because reservation  $ref_{P_1}$  is invalid

- returns failure of CAS  $\Rightarrow$  rolls back, backs up, and retries CAS...



- TAS and CAS provide the result of a comparison, not of a link check
  - that SC can fail is irrelevant to the result
  - only if the comparison fails or SC succeeds the action (TAS, CAS) is done
- use of an LL/SC-based auxiliary function:

```

1 inline bool LCS(long *ref, long old, long new) {
2 do if (LL(ref) != old) return false;
3 while (!SC(ref, new)); return true;
4 }

```

- `load`, `compare`, and then `store`, if the link still exists, or retry
- now the implementation of TAS and CAS, again, is child's play:

```

5 bool tas(long *ref) {
6 return LCS(ref, 0, 1);
7 }
8 bool cas(long *ref, long old, long new) {
9 return LCS(ref, old, new);
10 }

```

- but this makes a wait-free operation lock-free [7]: **a process can starve**



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### Definition (acc. [6, p. 17])

A value-returning instruction that operates on a global (i.e., shared) variable  $G$  and a local variable  $L$ .

- an atomic RMW instruction, inspired by “Replace Add” [3, p. 6]
  - prefix (FAA) or postfix (AAF) form, as to when fetch becomes effective
    - prefix – save the old value of  $G$  for return, then add  $L$  to  $G$
    - postfix – add  $L$  to  $G$ , then return the new value of  $G$
  - whereby (cf. p. 39):

$$\begin{aligned} \text{FAA}(G, L) &\equiv \text{AAF}(G, L) - L \quad \text{and} \\ \text{AAF}(G, L) &\equiv \text{FAA}(G, L) + L \end{aligned}$$

- transferable to any associative binary operation *fetch-and- $\Phi$* 
  - but for noninvertible operations the prefix form is considered more general
  - be  $\Phi = \max$  (i.e.,  $X$ ): only  $\text{XAF}(G, L) \equiv \max(\text{FAX}(G, L), L)$  (cf. p. 40)



## Properties Relevant to Multi-Threading

- fundamental characteristics that are of particular importance for the implementation of any synchronisation algorithm:
  - atomicity**
    - as to *how* certain machine instructions are executed
    - differentiates in RISC and CISC machines
    - specific to each ELOP that was discussed before (pp. 7–18)
  - visibility**
    - as to *when* memory-cell changes are observable
    - concerns delays in sensing the most recent memory-word write
    - introduces time factors on the availability of written data
  - ordering**
    - as to *how* memory operations appear to be performed
    - stands for a variant of *out-of-order* execution
    - reflects on (sequential, relaxed, or weak) consistency models
- these properties are linked with each other, are mutual prerequisites
  - atomicity applies to all other—and to a single machine instruction, only
  - visibility depends on the memory architecture, may cause “jitter”
  - ordering comprises multiple machine instructions, may cause “fencing”
- as to the level of abstraction, they must all be considered together
  - this is especially true for the operating-system machine level (i.e., level 3)



- common are two classes of memory-sensitive operations (cf. p. 25):
  - L/S** ■ atomic load (L) or store (S), resp., as single action
    - granularity is the **machine word**, i.e., a multiple of a byte
    - with **word-alignment** constraint on the operand address, usually
      - only word-aligned accesses will be carried out indivisibly
  - RMW** ■ atomic read (R), modify (M), and write (W) as single action
    - common for CISC and, there, for **two-address machines**
      - uncommon for RISC, which is characteristic of load/store principle
    - single- or double-word cycles for 32- or 64-bit architectures, resp.
      - “double” means “physically consecutive” or “logically interrelated”
      - i.e.: CDS or cmpxchg8b/cmpxchg16b compared to DCAS or CAS2
- processes cannot observe any intermediate steps and partial effects
  - here, only in matters of a single (L/S or RMW) machine instruction
  - that is to say, the ISA-level action appears **indivisible** and **irreducible**
  - as a consequence, the instruction will be performed entirely or not all
    - with the latter meaning **failure indication** (TAS, CAS, SC)



When other interacting processes will notice the changes made by the current process, and whether they will notice them at all.

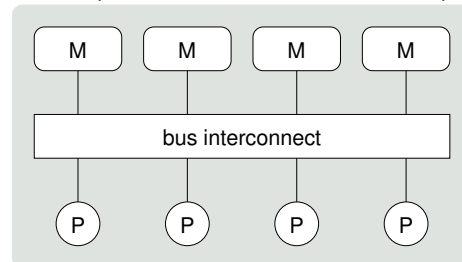
- depends on the **memory architecture** and behaviour of read or write operations to the same memory location
  - UMA** ■ *uniform memory architecture*  $\leadsto$  the same access time
    - each address is assigned a fixed home in the global address space
    - no processor uses private (local) memory besides shared memory
  - NUMA** ■ *non-uniform memory architecture*  $\leadsto$  different access times
    - each address is assigned a fixed home in the global address space
    - each processor (“NUMA node”) uses private (local) memory, too
  - COMA** ■ *cache-only memory architecture*  $\leadsto$  different access times
    - no address is assigned a fixed home in the global address space
    - each processor uses private (local) memory, only
- orthogonal with it is the **consistency** aspect as to shared information stored in multiple local **caches**
  - **cache-coherent** (cc) v. **non-cache-coherent** (ncc) memory architecture



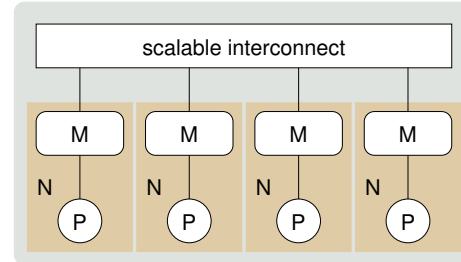
## Memory Architectures at a Glance

Simplified

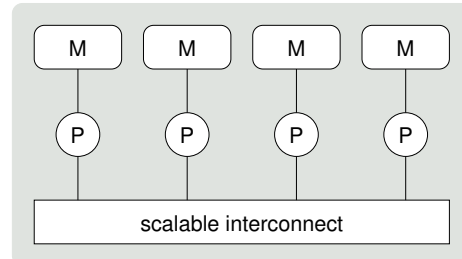
### UMA (symmetric multiprocessing, SMP)



### NUMA



### COMA



- NUMA node (N)
  - zone of uniform memory characteristic
- NUMA/COMA distance
  - number of (network) hops to distant memory
- UMA/NUMA combination



## Ordering

What memory re-orderings are possible for a process, relatively to the order as specified by its program.

- to improve performance, memory-sensitive machine instructions are not executed in the order originally specified by the program
  - on the one hand, the compiler reorders (L3) instructions<sup>6</sup> before run-time
  - on the other hand, the CPU reorders (L2) instructions<sup>6</sup> at run-time
    - it is this aspect of **dynamic ordering** that is of relevance in the following
- mainly, dynamic ordering is an issue of non-blocking synchronisation
  - as blocking synchronisation implicitly can take care of “fencing” proper
    - depending on the kind of critical section and type of data dependency
  - but, critical section *per se* is no guarantee for memory ordering (cf. p. 25)
- ordering ensuring needs special instructions: **memory barrier/fence**

<sup>6</sup>According to the actual level of abstraction: operating-system machine (L3) or instruction set architecture (L2) level. See also [10] or [17, p. 34].



- assuming that the following function is executed by a single processor, but the global variables are then read by at least one more processor:
 

```

1 int a = 1, b = 2;
2
3 void ab_set() {
4 a = 3;
5 b = 4;
6 }

```

  - what values of  $a$  and  $b$  do other processors see once line 6 has been reached by one processor?
    - (1, 2), (1, 4), (3, 2), (3, 4)
    - depending on processor and memory architecture
  - writes are not necessarily seen by other processors in the order as specified by the program!
- assuming that the next function is executed directly afterwards to the former one just discussed, but by a different processor:
 

```

7 void ab_get(int ab[2]) {
8 ab[0] = b;
9 ab[1] = a;
10 }

```

  - what values of  $a$  and  $b$  are delivered?
    - line 8 may read the *new* value of  $b$  while line 9 may read the *old* value of  $a$
    - although the assignment to  $a$  (line 4) was instructed previous to the one of  $b$



*Memory barrier instructions directly control only the interaction of a CPU with its cache, with its write-buffer that holds stores waiting to be flushed to memory, and/or its buffer of waiting loads or speculatively executed instructions. [12]*

- $ld_a$  LoadLoad  $ld_b$  ■ ensures that  $a$  is read before  $b$  is accessed<sup>7</sup>
  - speculative loads, out-of-order processing
- $st_a$  StoreStore  $st_b$  ■ ensures that  $a$  is visible before  $b$  is flushed<sup>7</sup>
  - disordered flushes from write buffers
- $ld_a$  LoadStore  $st_b$  ■ ensures that  $a$  is read before  $b$  is flushed<sup>7</sup>
  - out-of-order processors that can bypass loads
- $st_a$  StoreLoad  $ld_b$  ■ ensures that  $a$  is visible before  $b$  is accessed<sup>7</sup>
  - write to *same* location by another processor
- CAS and LL/SC typically include a StoreLoad barrier on the target
  - i.e., not only a general-purpose but also the most expensive fence

<sup>7</sup>Including the execution of all subsequent loads or stores, resp.



## Consistency Models

Relevant Excerpt (cf. [13])

- **data consistency** as close as possible to sequential processes or with optimisation margins for high-latency memory
    - sequential** ■ processors see writes on the same target in the same order
      - but the order may appear different for an “external observer”<sup>8</sup>
      - two requirements: **program order** and **write atomicity** [11]
    - relaxed** ■ in terms of the constraints defined by sequential consistency
      - as to (i) program order, (ii) write atomicity, or (iii) both:
        - i write to read, write to write, read to read and read to write
        - ii read other's, write early
        - iii read own, write early
    - weak** ■ pertaining to (i) different or (ii) same memory locations
      - “limited to hardware-recognized synchronizing variables” [4]
      - yet weaker tendencies: release [5] and entry [1] consistency
        - implemented by operating system machine level programs
        - usually not provided by the instruction set architecture level
  - state of the art processors provide relaxed or weak consistency models
- <sup>8</sup>Weaker than “strict consistency” that requires a read from a memory location to return the value of the most recent write.



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- **elementary operations** at instruction structure set architecture level
  - atomic load/store of a naturally aligned machine (double-) word
  - atomic read-modify-write of complex machine instructions
    - TAS, CAS and FAA or FAΦ, resp., for CISC and LL/SC for RISC
  - equality of atomic operations as to their *consensus number* (cf. p.41)
- **memory-access properties** that are relevant to multi-threading
  - atomicity, visibility, and ordering of memory operations
  - memory architectures of type UMA, NUMA, and COMA
  - *dynamic ordering* at instruction set architecture level
  - memory barriers or fences, resp., to enforce ordering proper
  - sequential, relaxed, and weak *data consistency*
- **hardware features** that are of importance for the implementation of any synchronisation algorithm
  - including but not limited to non-blocking synchronisation, especially



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## Test & Set III

DPRAM

### Definition (Dual-Ported RAM)

A kind of random access memory (RAM) that supports simultaneous load and store operations from two directions.

- the **interlock** is conducted by a “DPRAM monitor” that, e.g. [18]:
  - records the processor that issued the TAS and acquired access
  - notifies processors that, at a time, issue a TAS simultaneously
    - signalling *BUSY* interrupt, forcing the receiving processor into **busy waiting**
  - performs the test and then, if and only if the test succeeds:
    - i sets the memory location to the value given by the owning processor *and*
    - ii releases access to that memory location

- this scheme translates into a **conditional store** as follows:

```
1 word tas(word *ref) {
2 word aux;
3 atomic { if ((aux = *ref) == 0) *ref = 1; }
4 return aux;
5 }
```



## ABA Exemplified

see also p. 14

- given a LIFO list (i.e., stack) of following structure:  $head \rightarrow A \rightarrow B \rightarrow C$ 
  - with *head* stored at location  $L_i$  shared by processes  $P_1$  and  $P_2$
  - push* (cf. [16, p. 11]) and *pull* adding or removing, resp., list items:

```
1 chain_t *cas_pull(stack_t *this) {
2 chain_t *node;
3 do if ((node = this->head.link) == 0) break;
4 while (!CAS(&this->head.link, node, node->link));
5 return node;
6 }
```

- assuming that the following sequence of actions will take place:

- $P_1$  ■ reads head item *A* followed by *B* on the list, gets delayed at line 4  
■ remembers  $node = A$ , but has not yet done CAS:  $head \rightarrow A \rightarrow B \rightarrow C$
- $P_2$  ■ pulls head item *A* from the list:  $head \rightarrow B \rightarrow C$   
■ pulls head item *B* from the list:  $head \rightarrow C$   
■ pushes item *A* back to the list, now followed by *C*:  $head \rightarrow A \rightarrow C$
- $P_1$  ■ resumes, CAS realises  $head = A$  (followed by *B*):  $head \rightarrow B \rightarrow \odot$   
■ list state  $head \rightarrow A \rightarrow C$  as left behind by  $P_2$  is lost. . .



- prevalent approach is to add a **change number** to the “control word” [8, p. 125], i.e., to practice some kind of **versioning**
  - this number increments at each CAS attempt on the control word
- appropriate techniques depend on the change-number parameters
  - a. the values margin has a whole word size available
    - both the control and change-number word must be updated, indivisibly
    - *compare double and swap* (CDS, [8, p. 124]) of two consecutive words<sup>9</sup>
    - *double compare and swap* (DCAS, also CAS2 [14, p. 4-66]) of any two words
  - b. the values margin utilizes fully unused bits in the control word itself
    - CAS facilitates indivisible updates of control word including change number
    - workaround, especially suitable for handling aligned data-structure **pointers**
    - gimmick is in data-structure padding for an object size of a power of two
      - ↪ an object size of  $2^n$  bytes then gives  $n - 1$  low-order bits always 0
      - ↪ these  $n - 1$  low-order bits then will be used as a **change-number tag**
      - ↪ for **pointer operations**, the change-number tag is temporary neutralised
- but the ABA problem never disappears, it only gets more improbable

<sup>9</sup>See also `cmpxchg8b` or `cmpxchg16b`, in case of x86.

- as GCC does not provide atomic built-in functions for this case:

```

1 long LL(long *ref) {
2 long aux;
3
4 asm volatile(
5 "lwarx %0, 0, %1"
6 : "=r" (aux)
7 : "r" (ref));
8
9 return aux;
10 }

11 int SC(long *ref, long val) {
12 long ccr;
13
14 asm volatile(
15 "stwcx. %2, 0, %1\n\t"
16 "mfcrr %0"
17 : "=r" (ccr)
18 : "r" (ref), "r" (val)
19 : "cc", "memory");
20
21 return ccr & 0x2;
22 }

```

- with “`#define INLINE extern inline`” for GCC to ensure that stand-alone object code is never emitted for in-line functions<sup>10</sup>

<sup>10</sup>Use “`#define INLINE inline`” for C99, for the same reason.

## FAA Exemplified

GCC Atomic Built-in Functions, x86

- `#define FAA __sync_fetch_and_add`

```

1 int faa(int *p, int v) {
2 return FAA(p, v);
3 }

4 faa:
5 movl 4(%esp), %ecx
6 movl 8(%esp), %eax
7 lock
8 xaddl %eax, (%ecx)
9 ret

```

- `#define AAF __sync_add_and_fetch`

```

10 int aaf(int *p, int v) {
11 return AAF(p, v);
12 }

13 aaf:
14 movl 4(%esp), %ecx
15 movl 8(%esp), %edx
16 movl %edx, %eax
17 lock
18 xaddl %eax, (%ecx)
19 addl %edx, %eax
20 ret

```

## Noninvertible Operation

*fetch-and-Φ*, with  $\Phi = \max$

- safe-load of global variable  $G$  and conditional-store of  $\max(G, L)$  at  $G$

```

1 word fax(word *ref, word val) {
2 word aux;
3 atomic { if ((aux = *ref) < val) *ref = val; }
4 return aux;
5 }

```

- conditional-store of  $\max(G, L)$  at  $G$  and return of  $\max(G, L)$

```

6 word xaf(word *ref, word val) {
7 atomic { word aux = (*ref > val) ? *ref : *ref = val; }
8 return aux;
9 }

```

- assuming that  $G = 42$  and  $L = 4711$ :

- $XAF(G, L) \equiv \max(FAX(G, L), L)$ : both terms result in 4711
- $FAX(G, L) \not\equiv \max(XAF(G, L), L)$ :  $FAX$  may result in  $42 < 4711$

- operations that need consensus number  $n$  cannot have a **semantically equivalent implementation** by operations of consensus number  $m < n$

## Definition (Consensus Number)

*The consensus number for  $X$  is the largest  $n$  for which  $X$  solves  $n$ -process consensus. If no largest  $n$  exists, the consensus number is said to be infinite. [7, p. 130]*

- $n$  processes need to interact to achieve agreement on a single data value
- note that only 1-process consensus requires no interaction
- consensus numbers of the elementary operations considered:
  - $\infty$  ■ compare-and-swap, load-linked/store-conditional
  - 2 ■ test-and-set, swap, fetch-and-add
  - 1 ■ atomic read, atomic write
- key point is the **progress guarantee** a certain operation has to give
  - for wait-freedom [7], the operation must have consensus number  $n = \infty$
  - in that case, every action has guarantee to complete in finite steps/time

