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**The Love/Hate Relationship with the C Preprocessor:  
An Interview Study**

29th European Conference on Object-Oriented Programming  
(ECOOP 2015)

Valentin Rothberg

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# The Authors

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# The Conference: ECOOP

## European Conference on Object-Oriented Programming

- ▶ Annual conference since 1986 (Paris)
- ▶ OOP **systems**, **languages** and **applications**
- ▶ Wide range of topics, tracks, workshops etc.
- ▶ Sister conference in North America: OOPSLA

“Historically ECOOP has combined the presentation of academic papers with comparatively practical experience reports, panels, workshops and tutorials.”

# ECOOP 2016: The Workshop Armada

## 11 **workshops** on various topics:

- ▶ Context-Oriented Programming
- ▶ Formal Techniques for Java-like Programs
- ▶ The Grace Programming Language
- ▶ Implementation, Compilation, Optimization of Object-Oriented Languages, Programs and Systems
- ▶ Aliasing, Capabilities and Ownership
- ▶ Tools for JavaScript Analysis
- ▶ Live Programming Systems
- ▶ Programming Models and Languages for Distributed Computing
- ▶ Programming Experience
- ▶ Script To Program Evolution
- ▶ Runtime Verification

# Paper Overview

## Problem statement:

- ▶ The C Preprocessor (CPP) has received strong criticism
  - ▶ Lack of separation of concerns
  - ▶ Error proneness
  - ▶ Obfuscation of source code
- ▶ Academia proposed alternatives
  - ▶ Syntactical preprocessors
  - ▶ Aspect-oriented programming
- ▶ Developers are continuously using CPP

## Core question:

- ▶ How do practioners (i.e., “real world”) perceive the CPP?
- ▶ In other words: Are we (i.e., academia) on the right track?

Before discussion, let's summarize the paper

# An Interview Study

## Study setup:

- ▶ Interview of 40 developers
- ▶ Cross validation with
  - ▶ a survey among 202 developers
  - ▶ results mined from software repositories
  - ▶ prior studies

“Our study is designed to elicit the *perception* of developers by talking to them.”

# RQ1: Why is the CPP still widely used in practice?

- ▶ **Portability**

support multiple platforms and systems

- ▶ **Variability**

alternative or optional implementations, features, modules

- ▶ **Code optimizations**

highly compiler-dependent

- ▶ **Code evolution**

grace period for deprecated code

- ▶ **Language limitations**

include guards



# RQ2: What do developers consider as alternatives to CPP directives? (1)

## Guidelines for structuring code

- ▶ Too diverse answers in interview
- ▶ Question has been moved to survey

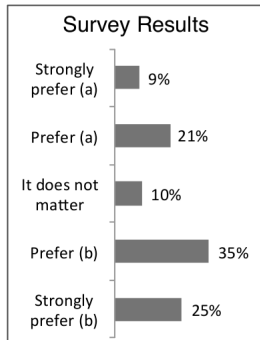
```
void function (){  
  
#ifdef OS1  
    /* Code 1 here.. */  
#endif  
  
#ifdef OS2  
    /* Code 2 here.. */  
#endif  
  
}
```

(a)

```
// FILE: OS1.c  
void function (){  
    /* Code 1 here.. */  
}  
  
// FILE: OS2.c  
void function (){  
    /* Code 2 here.. */  
}
```

(b)

In (b), only OS1.c or OS2.c is compiled depending on the platform. It is controlled at makefile level.



## RQ2: What do developers consider as alternatives to CPP directives? (2)

### In-Language runtime mechanisms:

- ▶ Use runtime bindings (e.g., if statements)
- ▶ Diverse opinions:
  - ▶ As much as possible
  - ▶ As few as possible (scaling)

### “Surprising” results?

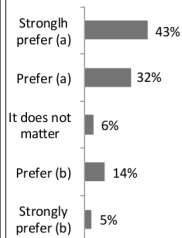
```
1.  if (*Y_AXIS.label.text) {
2.  #ifdef PM3D
3.      if (rot_x <= 90){
4.          double step = (end - x);
5.          // lines of code..
6.          if (map)
7.              *t = text_angle;
8.      }
9.  #endif
10.     // lines of code..
11. }
```

(a)

```
1.  int PM3D_RT = 0;
2.  #ifdef PM3D
3.      PM3D_RT = 1;
4.  #endif
5.  if (*Y_AXIS.label.text) {
6.      if (PM3D_RT && rot_x <= 90){
7.          double step = (end - x);
8.          // lines of code..
9.          if (map)
10.              *t = text_angle;
11.      }
12.      // lines of code..
13. }
```

(b)

#### Survey Results



## RQ2: What do developers consider as alternatives to CPP directives? (3)

### No alternative or general replacement:

- ▶ Sometimes code **must** be removed
- ▶ Alternatives would end-up as a CPP
- ▶ Using CPP is **portable**

## RQ3: What are common problems of using CPP directives in practice? (1)

### **Preprocessor related bugs:**

- ▶ Incorrect macro expansion
- ▶ Misspelled macro names
- ▶ Missing/undefined variables and functions
- ▶ Syntax and linking errors
- ▶ Behavioral changes due to macro interactions
- ▶ Memory and resources leaks
- ▶ Memory corruption and race conditions, ...

“[...] code that does not compile is easy to deal with, but the runtime bugs are the harder ones to detect.”

## RQ3: What are common problems of using CPP directives in practice? (2)

### Combinatorial testing:

“[...] code that does not compile is easy to deal with, but the runtime bugs are the harder ones to detect.”

- ▶ Finding the configuration(s) is not trivial
- ▶ “Combinatorial explosion”
- ▶ The more macros, the bigger the testing matrix

### Solution?

- ▶ Check only a few configurations
- ▶ Check only a default configuration (optionals activated)
- ▶ Different compilers on different platforms

## RQ3: What are common problems of using CPP directives in practice? (3)

### **Code comprehension:**

- ▶ Harder to read and understand
- ▶ Mix of languages:
  - ▶ C/C++ (if, else, for, while, switch)
  - ▶ CPP (#ifdef, #ifndef, #elif)
- ▶ Deep nesting of #ifdef blocks

## RQ4: Do developers care about the discipline of preprocessor annotations?

- ▶ **Yes:** impact on code quality
- ▶ Some (would) use it but document their intentions
- ▶ Refactoring: “I am not going to touch that” :-)

```
1. if (user_callbacks == NULL) {  
2. #ifdef HAVE_PTHREAD  
3.     callbacks=&ssh_pthread;  
4. }  
5. #else  
6.     return SSH_ERROR;  
7. }  
8. #endif
```

(a)

```
1. if (user_callbacks == NULL) {  
2. #ifdef HAVE_PTHREAD  
3.     callbacks=&ssh_pthread;  
4. #else  
5.     return SSH_ERROR;  
6. #endif  
7. }
```

(b)

### Repository mining:

- ▶ 21 (7%) of 299 developers introduced 85% of undisciplined annotations
- ▶ “[...] some got defensive and excused”

# Conclusion and Implications for Practitioners and Researchers

## **Guidelines and enforcement:**

- ▶ It's done for good reasons
- ▶ Only few tools to enforce CPP related guidelines

## **Quality assurance:**

- ▶ Configurations are rarely tested systematically or even exhaustively
- ▶ Systematic sampling and family-based analyses are promising directions

## **Tool design and technology transfer:**

- ▶ CPP's portability makes alternatives hard to establish
- ▶ Research should communicate better



What we should keep in mind (imho):

- ▶ Love it or hate it: the CPP will be around
- ▶ Plenty of research has happened, but we're not done (yet)
- ▶ Investing into new research/tools will pay off
- ▶ Alternatives to CPP will be hard to establish

Next time on ...

Paul A. Karger, and Roger R. Schell

**Thirty Years Later: Lessons from the Multics Security  
Evaluation**

Proceedings of the 18th Annual Computer Security  
Applications Conference (ACSAC '02)

by

Christian Dietrich

<https://www4.cs.fau.de/~stettberger/>